

FORM PTO-1390 (REV. 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER SEA-6-7-US	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/937584	
INTERNATIONAL APPLICATION NO. PCT/US 00/08354		INTERNATIONAL FILING DATE 30 March 2000		PRIORITY DATE CLAIMED 31 March 1999	
TITLE OF INVENTION CONTROLLED DELIVERIES AND DEPOSITION OF PHARMACEUTICALS AND OTHER AEROSOLIZED MASSES					
APPLICANT(S) FOR DO/EO/US F. Michael SHOFNER; Frederick M. SHOFNER					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). N/A a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
Items 11 to 20 below concern document(s) or information included:					
11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: Application Data Sheet					

U.S. APPLICATION NO. 09/1957584 INTERNATIONAL APPLICATION NO. PCT/US 00/08354		ATTORNEY'S DOCKET NUMBER SEA-6-7-US			
21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. \$1000.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =		CALCULATIONS PTO USE ONLY <table style="width:100%; border: none;"> <tr> <td style="width:50%; border: none;">\$ 100.00</td> <td style="width:50%; border: none;"></td> </tr> </table>		\$ 100.00	
\$ 100.00					
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		<table style="width:100%; border: none;"> <tr> <td style="width:50%; border: none;">\$</td> <td style="width:50%; border: none;"></td> </tr> </table>		\$	
\$					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	21 - 20 =	1	x \$18.00		
Independent claims	5 - 3 =	2	x \$80.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00		
TOTAL OF ABOVE CALCULATIONS =		\$ 278.00			
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		+ \$ 139.00			
SUBTOTAL =		\$ 139.00			
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$			
TOTAL NATIONAL FEE =		\$ 139.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$			
TOTAL FEES ENCLOSED =		\$ 139.00			
		Amount to be refunded:	\$		
		charged:	\$		
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>139.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Steven C. Schnedler Carter & Schnedler, P.A. 56 Central Avenue, Suite 101 P. O. Box 2985 Asheville, NC 28802		 _____ SIGNATURE Steven C. Schnedler _____ NAME 27,591 _____ REGISTRATION NUMBER			

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Stage Application of:

SHOFNER et al.

Serial No.: PCT/US 00/08354

Filed: 30 March 2000

National Stage Entry Date: September 26, 2001

For: CONTROLLED DELIVERIES AND DEPOSITIONS OF
PHARMACEUTICAL AND OTHER AEROSOLIZED MASSES

Asheville, North Carolina
September 26, 2001

FIRST PRELIMINARY AMENDMENT

Commissioner of Patents
Washington, D.C. 20231

Sir:

Prior to fee calculation and to initial examination, please
amend the above-identified application as follows:

In The Specification:

Please insert the following at the beginning of the
specification:

[Begin inserted heading and paragraph]

CROSS-REFERENCE TO PROVISIONAL PATENT APPLICATIONS

The benefit of U.S. Provisional Patent Application Serial
Nos. 60/127,269, filed March 31, 1999; and 60/143,732, filed
July 14, 1999, is claimed.

[End inserted heading and paragraph]

In The Claims:

Rewrite claims 1-14 without parenthetical reference numbers to read as follows:

[Begin replacement claims 1-14]

1. (Amended) A system for delivery and deposition of aerosolized masses, comprising:
- an aerosol generator;
 - an upstream electro-optical mass concentration sensor, and a source of gas flow for transporting aerosols past said upstream electro-optical mass concentration sensor at a known upstream volumetric flow rate;
 - a deposition zone for collecting aerosols on or within a media;
 - a downstream electro-optical mass concentration sensor for measuring the mass concentration of aerosols uncollected in said deposition zone, and a conduit for transporting uncollected aerosols past said downstream electro-optical mass concentration sensor at a known downstream volumetric flow rate; and
 - a controller connected to said upstream and downstream mass concentration sensors and determining the net mass of aerosols collected within said deposition zone by integrating over time the product of mass concentration measured by said upstream electro-

optical sensor and the known upstream volumetric flow rate minus the product of mass concentration measured by said downstream electro-optical sensor and the known downstream volumetric flow rate.

2. (Amended) The system of claim 1, which further comprises an upstream volumetric flow rate sensor for determining the upstream volumetric flow rate, and wherein said controller is connected to said upstream volumetric flow rate sensor.

3. (Amended) The system of claim 2, which further comprises a downstream volumetric flow rate sensor for determining the downstream volumetric flow rate, and wherein said controller is connected to said downstream volumetric flow rate sensor.

4. (Amended) The system of claim 1, wherein said aerosol generator comprises:

a metering pocket, with powder loaded into said metering pocket;

a jet for directing high velocity gas into said metering pocket so as to fluidize the powder and produce an expansive bolus; and

a mixing chamber into which the expansive bolus is directed.

5. (Amended) The system of claim 4, wherein said metering pocket is a micropocket having a volume of the order of one cubic millimeter.

6. (Amended) The system of claim 4, wherein said jet directs gas at a velocity approaching Mach 1 into said metering pocket.

7. (Amended) The system claim 5, wherein said aerosol generator further comprises:

a powder chamber containing powder to be aerosolized;

a sealing gland separating said powder chamber and said mixing chamber; and wherein

said micropocket metering pocket comprises a microscop in the form of a plunger rod having a tip with said micropocket metering pocket formed within said tip, said plunger rod passing through powder in said powder chamber so as to load powder within said micropocket metering pocket and then engaging and penetrating said sealing gland.

8. (Amended) The system of claim 5, wherein said aerosol generator, further comprises:

a body;

a powder pocket cylinder cavity within said body and a powder pocket cylinder within said powder pocket cylinder cavity, said powder pocket cylinder having an outer cylindrical surface and

a plurality of metering pockets formed within said cylindrical surface, and

a passageway within said body communicating with a metering pocket of said plurality when said metering pocket is in an active position so as to provide access to said metering pocket.

9. (Amended) The system of claim 8, wherein said aerosol generator further comprises:

a metering cylinder cavity within said body and a rotating metering cylinder within said metering cylinder cavity, said rotating metering cylinder comprising an outer tube with first and second openings in the wall of said outer tube, said first opening being selectively alignable with said passageway communicating with said metering pocket; and wherein

said gas jet is within said outer tube and directs high velocity gas through said first opening into said metering pocket, thereby fluidizing powder which passes through said first opening into the interior of said outer tube and out through said second opening as an expansive bolus.

10. (Amended) The system of claim 5, wherein said aerosol generator further comprises a megadose disc having a surface and a plurality of metering pockets formed in said surface.

11. (Amended) The system of claim 1, wherein said aerosol generator comprises:

a source of a liquid solution of an active ingredient and a volatile solvent;

an atomizer for atomizing the solution to produce droplets from which the solvent evaporates to leave an expansive bolus of solute residue; and

a mixing chamber into which the expansive bolus is directed.

12. (Amended) The system of claim 1, wherein said deposition zone comprises:

a porous media collection element;

an aerosol delivery tube positioned generally against an upstream side of said porous media collection element for delivering aerosols transported by a fluid; and

a perforated support element positioned generally against a downstream side of said porous media collection element.

13. (Amended) The system of claim 1 wherein said deposition zone comprises:

an impactor plate;

an impactor jet for directing aerosols transported by a fluid against said impactor plate for deposition thereon; and

an output conduit for conveying away fluid and aerosols not deposited on said impactor plate.

14. (Amended) The system of claim 1 wherein said deposition zone comprises:

a mass delivery section) for loading an aerosolized mass into a removable drift tube; and

a deposition section receiving said drift tube and including a source of displacement gas for directing the aerosolized mass over a deposition surface.

[End replacement claims 1-14]

Delete claims 15-22.

Rewrite claim 23 in independent form, and without parenthetical reference numbers, to read as follows:

[Begin replacement claim 23]

23. (Amended) An aerosol generator for producing an aerosolized powder, said aerosol generator comprising:

a metering pocket having a volume of the order of one cubic millimeter, with powder loaded into said metering pocket;

a powder chamber containing powder to be aerosolized;

a sealing gland separating said powder chamber and said mixing chamber;

said micropocket metering pocket comprising a microscop in the form of a plunger rod having a tip with said micropocket metering pocket formed within said tip, said plunger rod passing through powder in said powder chamber so as to load powder within said micropocket metering pocket and then engaging and penetrating said sealing gland,

a jet for directing high velocity gas into said metering pocket, so as to fluidize the powder and produce an expansive bolus; and

a mixing changer into which the expansive bolus is directed.

[End replacement claim 23]

Delete claims 24-27.

Rewrite claims 28-33 without parenthetical reference numbers to read as follows:

[Begin replacement claims 28-33]

28. (Amended) A deposition zone for collecting aerosolized masses, comprising:

a porous media collection element;

an aerosol delivery tube positioned generally against an upstream side of said porous media collection element for delivering aerosols transported by a fluid; and

a perforated support element positioned generally against a downstream side of said porous media collection element.

29. (Amended) The deposition zone of claim 28, wherein:
said aerosol delivery tube has a tapered end; wherein
said perforated support element is cup-like in
configuration with a tapered interior surface; and wherein

said porous media collection element is cup-like in configuration having a tapered interior surface matching the tapered end of said aerosol delivery tube as the upstream side, and a tapered exterior surface matching the taper of said perforated support element as the downstream side.

30. (Amended) A deposition zone for collecting aerosolized masses, comprising:

an impactor plate;

an impactor jet for directing aerosols transported by a fluid against said impactor plate for deposition thereon; and

an output conduit for conveying away fluid and aerosols not deposited on said impactor plate.

31. (Amended) The deposition zone of claim 30, wherein said impactor jet directs aerosols transposed by a gas at a velocity approaching Mach 1 against said impactor plate.

32. (Amended) A deposition zone for collecting aerosolized masses, comprising:

a mass delivery section for loading an aerosolized mass into a removable drift tube; and

a deposition section receiving said drift tube and including a source of displacement gas for directing the aerosolized mass over a deposition surface.

33. (Amended) The deposition zone of claim 32, wherein said deposition surface is electrostatically charged for attracting aerosols.

[End replacement claims 28-33]

REMARKS

The claims are amended so as to contain only claims which have been indicated in the International Preliminary Examination Report prepared by the United States Patent and Trademark Office mailed 18 September 2001 as satisfying the criteria of PCT Article 33(1)-(4) as to novelty, inventive step, and industrial applicability. The claims remaining in the application are 1-14, 23 and 28-33.

Claims 15-22 and 24-27, which were asserted to not satisfy the criteria as to novelty and inventive step, have been cancelled without prejudice to their presentation for examination in a continuation patent application being filed concurrently herewith.

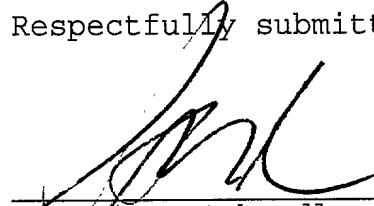
Claim 23, previously dependent from now cancelled claim 16, has been rewritten in independent form, incorporating all limitations of now-cancelled claims 15 and 16 from which claim 23 originally depended. In addition, parenthetical reference numbers have been removed from the claims.

A cross reference to provisional patent applications has been added to the specification.

In compliance with 37 C.F.R. §1.121(c)(1)(ii), on the following pages 12-20 are copies of the amended claims marked up to show all changes.

Pursuant to 37 C.F.R. §1.496(b), it is requested that the application be taken up out of order, and that a Notice of Allowance issue.

Respectfully submitted,



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APPENDIX--AMENDED CLAIMS TO SHOW CHANGES

1. (Amended) A system [(1)] for delivery and deposition of aerosolized masses, comprising:

an aerosol generator [(100)];

an upstream electro-optical mass concentration sensor [(200)], and a source of gas flow for transporting aerosols past said upstream electro-optical mass concentration sensor [(200)] at a known upstream volumetric flow rate;

a deposition zone [(300)] for collecting aerosols on or within a media;

a downstream electro-optical mass concentration sensor [(201)] for measuring the mass concentration of aerosols uncollected in said deposition zone, and a conduit [(108)] for transporting uncollected aerosols past said downstream electro-optical mass concentration sensor [(201)] at a known downstream volumetric flow rate; and

a controller [(400)] connected to said upstream and downstream mass concentration sensors [(200, 201)] and determining the net mass of aerosols collected within said deposition zone [(300)] by integrating over time the product of mass concentration measured by said upstream electro-optical sensor [(200)] and the known upstream volumetric flow rate minus the product of mass concentration measured by said downstream electro-optical sensor [(201)] and the known downstream volumetric flow rate.

2. (Amended) A system of claim 1, which further comprises an upstream volumetric flow rate sensor [(250)] for determining the

upstream volumetric flow rate, and wherein said controller [(400)] is connected to said upstream volumetric flow rate sensor [(250)].

3. (Amended) The system of claim 2, which further comprises a downstream volumetric flow rate sensor [(260)] for determining the downstream volumetric flow rate, and wherein said controller [(400)] is connected to said downstream volumetric flow rate sensor [(260)].

4. (Amended) The system [(1)] of claim 1, wherein said aerosol generator [(100, 101, 102, 103, 105)] comprises:

a metering pocket [(126, 165, 506, 540, 569)], with powder loaded into said metering pocket [(126, 165, 506, 540, 569)];

a jet [(122, 166, 568, 567)] for directing high velocity gas into said metering pocket [(126, 165, 506, 540, 569)] so as to fluidize the powder and produce an expansive bolus [(150, 176, 574, 575)]; and

a mixing chamber [(152, 46, 588)] into which the expansive bolus [(150, 176, 574, 575)] is directed.

5. (Amended) The system [(1)] of claim 4, wherein said metering pocket [(126, 165, 506, 540, 569)] is a micropocket having a volume of the order of one cubic millimeter.

6. (Amended) The system (1)] of claim 4, wherein said jet [(122, 166, 568, 567)] directs gas at a velocity approaching Mach 1 into said metering pocket [(126, 165, 506, 540, 569)].

7. (Amended) The system [(1)] claim 5, wherein said aerosol generator [(100)] further comprises:

a powder chamber [(112)] containing powder to be aerosolized;

a sealing gland [(130)] separating said powder chamber [(122)] and said mixing chamber [(152)]; and wherein

said micropocket metering pocket [(126)] comprises a microscop in the form of a plunger rod [(120)] having a tip with said micropocket metering pocket [(126)] formed within said tip, said plunger rod [(120)] passing through powder in said powder chamber [(112)] so as to load powder within said micropocket metering pocket [(126)] and then engaging and penetrating said sealing gland [(130)].

8. (Amended) The system [(1)] of claim 5, wherein said aerosol generator [(100, 101)] further comprises:

a body [(160)];

a powder pocket cylinder cavity [(180)] within said body [(160)] and a powder pocket cylinder [(163)] within said powder pocket cylinder cavity [(180)], said powder pocket cylinder [(163)] having an outer cylindrical surface [(182)] and a plurality of metering pockets [(165)] formed within said cylindrical surface [(182)], and

a passageway [(184)] within said body [(160)] communicating with a metering pocket of said plurality [(165)] when said metering pocket [(165)] is in an active position so as to provide access to said metering pocket [(165)].

9. (Amended) The system [(1)] of claim 8, wherein said aerosol generator [(100, 101)] further comprises:

a metering cylinder cavity [(186)] within said body [(160)] and a rotating metering cylinder [(164)] within said metering cylinder cavity [(186)], said rotating metering cylinder comprising an outer tube [(164)] with first and second openings [(170, 175)] in the wall of said outer tube [(164)], said first opening [(170)] being selectively alignable with said passageway [(184)] communicating with said metering pocket [(165)]; and wherein

said gas jet [(166)] is within said outer tube [(164)] and directs high velocity gas through said first opening [(170)] into said metering pocket [(165)], thereby fluidizing powder [(169)] which passes through said first opening [(170)] into the interior of said outer tube [(164)] and out through said second opening [(175)] as an expansive bolus.

10. (Amended) The system [(1)] of claim 5, wherein said aerosol generator further comprises a megadose disc [(500)] having a surface [(501)] and a plurality of metering pockets [(506)] formed in said surface [(501)].

11. (Amended) The system [(1)] of claim 1, wherein said aerosol generator [(104)] comprises:

a source of a liquid solution [(610)] of an active ingredient and a volatile solvent;

an atomizer [(600)] for atomizing the solution to produce droplets from which the solvent evaporates to leave an expansive bolus [(601)] of solute residue; and

a mixing chamber [(602)] into which the expansive bolus [(601)] is directed.

12. (Amended) The system [(1)] of claim 1, wherein said deposition zone [(300)] comprises:

a porous media collection element [(310)];

an aerosol delivery tube [(309)] positioned generally against an upstream side of said porous media collection element [(310)] for delivering aerosols transported by a fluid; and

a perforated support element [(314)] positioned generally against a downstream side of said porous media collection element [(310)].

13. (Amended) The system [(1)] of claim 1 wherein said deposition zone [(300, 301)] comprises:

an impactor plate [(332)];

an impactor jet [(330)] for directing aerosols transported by a fluid against said impactor plate [(332)] for deposition thereon; and

an output conduit [(336)] for conveying away fluid and aerosols not deposited on said impactor plate [(332)].

14. (Amended) The system [(1)] of claim 1 wherein said deposition zone [(300)] comprises:

a mass delivery section [(365)] for loading an aerosolized mass [(360)] into a removable drift tube [(362)]; and

a deposition section [(366)] receiving said drift tube [(362)] and

including a source of displacement gas [(367)] for directing the aerosolized mass [(360)] over a deposition surface [(368)].

23. (Amended) An [The] aerosol generator for producing an aerosolized powder, said aerosol generator comprising [(100)] of claim 16, which further comprises]:

a metering pocket having a volume of the order of one cubic millimeter, with powder loaded into said metering pocket;

a powder chamber [(112)] containing powder to be aerosolized;

a sealing gland [(130)] separating said powder chamber [(122)] and said mixing chamber [(152)]; [and wherein]

said micropocket metering pocket [(126)] comprising [comprises] a microscoop in the form of a plunger rod [(120)] having a tip with said micropocket metering pocket [(126)] formed within said tip, said plunger rod [(120)] passing through powder in said powder chamber [(112)] so as to load powder within said

micropocket metering pocket [(126)] and then engaging and penetrating said sealing gland, [(130)]

a jet for directing high velocity gas into said metering pocket so as to fluidize the powder and produce an expansive bolus;
and

a mixing chamber into which the expansive bolus is directed.

28. (Amended) A deposition zone [(300)] for collecting aerosolized masses, comprising:

a porous media collection element [(310)];

an aerosol delivery tube [(309)] positioned generally against an upstream side of said porous media collection element [(310)] for delivering aerosols transported by a fluid; and

a perforated support element [(314)] positioned generally against a downstream side of said porous media collection element [(310)].

29. (Amended) The deposition zone [(300)] of claim 28, wherein:

said aerosol delivery tube [(309)] has a tapered end;

wherein

said perforated support element [(314)] is cup-like in configuration with a tapered interior surface; and wherein

said porous media collection element [(310)] is cup-like in configuration having a tapered interior surface matching the tapered end of said aerosol delivery tube [(309)] as the upstream

side, and a tapered exterior surface matching the taper of said perforated support element [(314)] as the downstream side.

30. (Amended) A deposition zone [(301)] for collecting aerosolized masses, comprising:

an impactor plate [(332)];

an impactor jet [(330)] for directing aerosols transported by a fluid against said impactor plate [(332)] for deposition thereon; and

an output conduit [(336)] for conveying away fluid and aerosols not deposited on said impactor plate [(332)].

31. (Amended) The deposition zone [(301)] of claim 30, wherein said impactor jet [(330)] directs aerosols transposed by a gas at a velocity approaching Mach 1 against said impactor plate [(332)].

32. (Amended) A deposition zone for collecting aerosolized masses, comprising:

a mass delivery section [(365)] for loading an aerosolized mass [(360)] into a removable drift tube [(362)]; and

a deposition section [(366)] receiving said drift tube [(362)] and including a source of displacement gas [(367)] for directing the aerosolized mass [(360)] over a deposition surface [(368)].

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09/937584

Controlled Deliveries and Depositions
of Pharmaceutical and Other
Aerosolized Masses

Description

Technical Field

5 This invention relates to controlled deliveries
of aerosolized masses, such as the delivery of small
aerosolized masses of active pharmaceuticals to deposition
zones onto much larger inert carriers, or delivery of large
10 mass flows to combustion zones. Illustrative fields of
utility are pharmaceutical manufacture, clinical or
patient-administered respiratory therapy, powder coatings
of materials, food-stuffs manufacture, such as coffee,
confections, freeze-dried powder packaging, printing
15 systems, powdered coal or atomized fuel oil for electric
power generation, and the like. The range of precisely and
accurately delivered mass flow rates may range from
nanograms per second to pharmaceutical deposition zones to
tonnes per hour to combustion zones of a coal-fired power
15 plant.

Background Art

Because the preferred embodiments disclosed below
are primarily directed to applications within the field of
pharmaceutical manufacture, the background and needs
20 addressed in that field are discussed below in relatively
greater detail, with a few brief comments directed to the
other fields of use.

The delivery of pharmaceutical chemicals, or
medications, or "medicaments" for therapeutic purposes is
25 currently accomplished by ingesting a "pill" to be taken
into the digestive tract, by breathing aerosolized liquids
or powders for intake into the respiratory tract, and by
direct injection or by transdermal diffusion into the
circulatory system of mammals. In many current
30 applications of these delivery methods, the bioactive
component is a small, of the order of about 10%, of the

total delivery of active and inert material. The larger portion is a more or less inert material such as starch powders in the case of pill delivery, water or alcohol, or a mixture thereof, for inhalation delivery, or saline solution for injection or transdermal delivery. As a common example, in a pill which is formed by mixing active and inert powders, the active dose may have mass of 10 milligrams and the inert carrier may have mass of 100 milligrams, with the resulting active/inert mass ratio of the order of 10:100 or 10%

It will be appreciated that known formulation or mixing technologies make it difficult to achieve good precision and accuracy even when the dose is about 10 mg and the active/inert fraction is about 10%. Prior art technologies limit the precision in the delivery, pill-by-pill, of the active medicament, to about 15%. Batch-to-batch absolute accuracies of about 10% are typical of current art. (As employed herein, precision means standard deviation divided by the mean of individual dosages within a batch. As employed herein, accuracy means the degree to which the average and absolute dosage of each batch agrees with the prescribed value.) Since these precisions and accuracies become even worse as the ratio of active to inert components falls, it follows that prior art mixing is severely limiting progress and dramatic improvements are needed. These limitations of prior art deliveries, to high active/inert fractions and high dosages, are thus in conflict with two of the much-desired features of modern pharmacology: decreasing active/inert fractions and decreasing active dose size.

It can be appreciated that what matters, for proper therapeutic treatment, is the precision and absolute accuracy in the delivery of the active component, and of its bioavailability. The precision and accuracy in delivery of the inert carrier do not matter, as much. It can be further appreciated that the prior art precisions and accuracies are discomfortingly problematical, even for

today's relatively high dosage levels and high active/inert fractions. Some pharmaceuticals are potentially harmful in even slight overdoses. On the other hand, underdosing will not produce the desired therapeutic results. Using the 15% precision and 10% accuracy values given above, the worst-case combinations of pill-to-pill precisions and batch-to-batch accuracies statistically allow, with disconcerting frequency, more than $\pm 25\%$ dosage variabilities with respect to the dosage prescribed by the physician, and expected by the patient. It follows that the variabilities in dosage deliveries associated with prior art apparatus and methods are only marginally acceptable now, with high dose levels and high active/inert fractions, and are increasingly unacceptable as the level or fraction decrease, and are thereby limiting progress.

Disclosure of the Invention

Accordingly, a primary need addressed by the invention is to improve the precision and accuracy of aerosolized masses delivered to deposition zones or collection sites. Thus, the invention is embodied in aerosol generators, and there are embodiments which include aerosol mass flow measurement. Another need addressed is for improved collection, particularly for pharmaceutical manufacture, and especially when small and very small doses of active medications, milligrams to nanograms, are collected on or in inert carrier materials for ingestion, inhalation and injection or transdermal deliveries. A still further need addressed is to provide improved precision and accuracy for low and very low active/inert mass fractions.

In an exemplary embodiment, a system for delivery and deposition of aerosolized masses includes an aerosol generator. Following the aerosol generator is an upstream electro-optical mass concentration sensor, and a source of gas flow for transporting aerosols past the upstream electro-optical mass concentration sensor at a known

upstream volumetric flow rate, in turn followed by a deposition zone for collecting aerosols on or within a media. Downstream of the deposition zone is a downstream electro-optical mass concentration sensor for measuring the mass concentration of aerosols uncollected in the deposition zone, and a conduit for transporting uncollected aerosols past the downstream electro-optical mass concentration sensor at a known downstream volumetric flow rate. A controller is connected to the upstream and downstream mass concentration sensors and determines the net mass of aerosols collected within the deposition zone by integrating over time the product of mass concentration measured by the upstream electro-optical sensor and the known upstream volumetric flow rate minus the product of mass concentration measured by the downstream electro-optical sensor and the known downstream volumetric flow rate.

An exemplary embodiment of an aerosol generator for producing an aerosolized powder includes a metering pocket, and powder is loaded into the metering pocket. A jet directs high velocity gas into the metering pocket so as to fluidize the powder and produces an expansive bolus. The expansive bolus is directed into a mixing chamber.

Another exemplary embodiment of an aerosol generator includes a source of a liquid solution of an active ingredient and a volatile solvent, an atomizer for atomizing the solution to produce droplets from which the solvent evaporates to leave an expansive bolus of solute residue, and a mixing chamber into which the expansive bolus is directed.

An exemplary embodiment of a deposition zone for collecting aerosolized masses includes a porous media collection element, an aerosol delivery tube positioned generally against an upstream side of the porous media collection element for delivering aerosols transported by a fluid, and a perforated support element positioned

generally against a downstream side of the porous media collection element.

Another exemplary embodiment of a deposition zone for collecting aerosolized masses includes an impactor plate, an impactor jet for directing aerosols transported by a fluid against the impactor plate for deposition thereon, and an output conduit for conveying away fluid and aerosols not deposited on the impactor plate.

Yet another exemplary embodiment of a deposition zone for collecting aerosolized masses includes a mass delivery section for loading an aerosolized mass into a removable drift tube, and a deposition section receiving the drift tube and including a source of displacement gas for directing the aerosolized mass over a deposition surface.

Brief Description of the Drawings

FIG. 1 is a schematic depiction of a system embodying the invention;

FIG. 2 shows an aerosol generator embodying the invention;

FIG. 3 is an enlarged view of a microscop plunger;

FIG. 4A is an enlarged view of a deposition zone including a porous media collection element;

FIG. 4B is a schematic depiction of a system embodying the invention and including an impactive deposition zone;

FIG. 4C is an enlarged view of an impactive deposition zone with multiple deposition areas;

FIG. 4D is an enlarged view similar to FIG. 4C, illustrating the removal of the deposition areas following deposition;

FIG. 4E is a schematic depiction of a system embodying the invention and including a mass delivery section for loading an aerosolized mass into a removable drift tube as an element of a deposition zone;

FIG. 4F is an enlarged view of a deposition section receiving the drift tube of FIG. 4E.

FIG. 5 shows elements of another aerosol generator embodying the invention;

5 FIGS. 6A, 6B and 6C depict successive steps in the operation of the FIG. 5 aerosol generator;

FIG. 7 shows another aerosol generator embodiment;

10 FIG. 8 shows yet another aerosol generator embodying the invention;

FIG. 9 is an enlarged view of the aerosol generator of FIG. 8 in the vicinity of the active pocket; and

15 FIG. 10 depicts an embodiment involving the injection of pulsed, expansive boli of aerosols and gas.

Best Modes for Carrying Out the Invention

Embodiments of the invention provide methods and apparatus for aerosol generation and for deposition which are optimally combined with aerosolized mass flow rate or
20 mass deliveries. In very brief summary, aerosol generator embodiments utilize impulsive or continuous injection of "expansive boli" into mixing/stilling/classification chambers, which we refer to as mixing chambers. Collection media embodiments use the principles of filtration by
25 porous, inert powders; impaction; and electrostatic attraction. Aerosolized mass flows from the aerosol generators are measured by electro-optical sensors and the mass flow deliveries to the deposition zones are controlled by associated control electronics.

30 In our developments of rigorous mass flow sensors, we determined that the most general and basic case requires measurement of the number of aerosolized particles per second transported across a differential element of the cross sectional area of a conduit and the mass per
35 particle. Summation or integration yields aerosol mass flow rate, dm/dt , in grams/second flowing in the conduit,

normal to and across the cross section. Summation or integration over all particle sizes yields total mass flow rate, and summation or integration over time yields mass delivery in time T. Significantly, dM/dt so measured is
5 dependent only on aerosol properties, including their spatial and vector velocity distributions, and not on gas properties.

Surprisingly, we discovered that, in some special but practical cases, a "scalar" formulation and measurement
10 permits sufficient precision and accuracy. We determined that such is valid when the aerosol sizes and speeds are small, when their vector directions are substantially parallel to the conduit, and when all particle sizes or classes are moving at nearly the same velocity as the mean
15 transporting fluid velocity. We experimentally determined that this discovery is generally valid for aerosol sizes up to 20 μm , speeds up to 1 m/s, conduit internal diameters of order 1 cm, and transporting gases of air or nitrogen roughly near standard temperatures and pressures. We also
20 determined conditions for which these generalities may be relaxed or restricted.

In these special conditions, the aforementioned "scalar" mass flow measurement, dM/dt , in grams/sec moving across a cross sectional plane of the conduit, is effected
25 by independently measuring the volumetric gas flow rate Q , m^3/sec , and aerosol mass concentration C , grams/ m^3 .

Embodiments of our invention achieve practical and combined realization of the foregoing, especially including accuracy and precision of mass flow deliveries of
30 about 5% and which can, in some cases, approach the order of 1%. There are no fundamental limits for our methods to dosage size or active/inert mass fractions. Dose deliveries that are as small as 1 microgram of active medication, with extension upward to milligrams and higher
35 and downward to nanograms and lower are provided. These improved precision and accuracy results are achieved in spite of smaller and smaller ratios of active to inert

components, versus order of magnitude 10% for prior art. Importantly, there are under evaluation powerful, expensive, new drugs, where the bioactive dose is only a few micrograms. When carried by an inert material whose mass is a few hundred milligrams, the mass ratio of active medication to inert carrier is evidently of the order of 1:100,000 or 0.001%.

Another important medical application of the invention is in the field of respiratory therapy, wherein improved dosage precision and accuracy enable better treatments and quantitative evidence therefor, in clinical settings. Still another health science application of our invention is to provide for improved apparatus and methods for administering direct therapeutic delivery of medication within the clinical setting of a hospital, physician's office, pharmacy, assisted living facility, veterinary clinic, and the like, thus providing the option for a physician or health care worker to administer precise and controlled delivery of medicaments to a patient where supervision or eminent need is required, and for which definitive documentation of delivered dose is important or required.

To further illustrate the breadth of applicability of the invention, the invention may be embodied in improved apparatus and methods for controlled deliveries of powderized coal or atomized fuel oil, thus optimizing combustion efficiencies thereof, as well as reducing emissions from power plants. The invention may be embodied in improved apparatus and methods for bulk powder deliveries in the manufacturing and packaging industry. For example, particle size of coffee is critical to the solubility of the grinds and therefore, brew strength and taste performance.

As employed herein, "aerosol" is a generic term which refers to finely divided liquid and dry powder materials, such as "atomized" sprays and "fluidized and dispersed" powders, respectively. To "aerosolize" a bulk

liquid or powder generally means to break up the bulk material into small particles and to disperse them into a fluid medium, usually gaseous, for transport.

Aerosolization is a key component of the aerosol generation and transport aspects of our invention and is explained in detail hereinbelow.

The sizes of such finely divided particulate materials are in the range of less than about 1 micrometer, μm , to several hundred μm in diameter. For reference purposes, respirable therapeutic aerosols are preferably of the order of a few μm , or smaller than about 10 μm , in order to reach the deep, alveolar recesses of the lungs. The therapeutic aerosol size range is between 10 μm and 100 μm for collection by or deposition within the bronchia, and the size range is greater than 100 μm for nasal collection. "Respirable aerosols" are defined by the US Occupational Safety and Health Administration (OSHA) and Environmental Protection Agency (EPA) to be below about 10 μm ; these governmental agencies indeed enforce laws which regulate the concentrations of so-called Particulate Matter-10 μm (PM 10 Standard) or corresponding definitions of aerosol size to which US citizens and workers are exposed in the ambient environment and in the workplace, respectively. For oral injection or transdermal deliveries, aerosol size ranges are also typically 1-10 μm .

1. Mass Delivery Measurements and Controls

Known aerosol mass concentration measurement methods typically employ electro-optical, light scattering means. Sensors have been developed and sold by ppm, Inc., of Knoxville, Tennessee, which sensors measure mass concentration C , g/m^3 , over the range of less than 1 $\mu\text{g}/\text{m}^3$ to over 100 g/m^3 . (Note: g/m^3 = grams of mass per cubic meter of volume.) Aerosols measured have been suspended in or transported by all types or compositions of gases, at pressures ranging from tens of atmospheres down to hard vacuums, and over wide temperature ranges. The

compositions and sizes of the particles have covered most types imaginable, from those affecting semiconductor manufacturing to respiratory health to explosive dusts. Particle sizes have ranged from less than 1 μm to over 1000 μm in diameter. A major feature of one of our methods is the ability to produce particle sizing information in the form of mass fractions.

Our measurements of mass concentration are mass per unit volume of space, without reference to the pressure, temperature, or composition of the transporting gas flow. We have discovered that mass delivery rates, dM/dt , g/sec, can be measured and precisely and accurately controlled, using adaptations and improvements of existing methods for measuring mass concentrations C , g/ m^3 , in combination with known measurements of volumetric flow rates Q , m^3/sec .

FIG. 1, which is partly block and partly unscaled schematic in format, illustrates an exemplary embodiment in the form of a system 1 for the precisely and accurately controlled delivery of aerosolized masses, and for their collection. System 1 in overview comprises four subsystems: aerosol generator (AG) 100, upstream and downstream electro-optical (EO) mass concentration aerosol sensors 200 and 201, collection surface or volume or deposition zone (DZ) 300, and control and communications module (CCM) 400.

Disclosed in detail below are the first three of the major subsystems of this pharmaceutical manufacturing embodiment of our controlled mass delivery and collection system 1. We note preliminarily the delivery or loading of bulk powders or liquids 11 into the aerosol generator 100, the provision of aerosolizing and transport gas or liquids 12 and contamination protection filters 13, and the discharge from aerosol generator 100 of "waste streams" of raw aerosol material 14 or aerosolizing and transport fluids 15. These latter discharges may be of aerosols which are incorrect in size or some other physical or

chemical attribute, and thus rejected from the aerosol generator 100, or unused fluids associated therewith. Also to be noted are the provision of such utilities as electrical power, compressed gases, or cooling/heating liquids and the like 16, all of which are well known and generally not shown for clarity of illustration.

Particular attention is now drawn to plane AA 210, across which plane aerosols having mass concentration C are transported by gas flow Q in perforated transport tube or conduit 107. The average aerosol mass concentration C is measured in or near this plane AA 210 by upstream electro-optical mass concentration sensor 200. The aerosols are subsequently to be collected or deposited in deposition zone 300. The particular deposition medium 310 shown in FIG. 1 as an example is porous, inert powder, described in greater detail hereinbelow with reference to FIG. 4A. However, the deposition zone 300 may be embodied in a number of different ways, for example as are described hereinbelow with reference to FIGS. 4B, 4C, 4D, 4E and 4F.

The aerosols are transported across plane AA 210 with essentially uniform average velocity by gas having a volumetric flow rate of Q, m³/sec. We discovered that the aerosol mass delivery rate across plane AA 210 obeys, in a simple scalar but useful approximation:

$$\dot{M} = dM/dt = Q \times C \quad (\text{grams/sec}) \quad (1)$$

and the aerosol mass delivered or transported across plane A 210 in time interval (0, T) is thus

$$M = \int_0^T Q C \, dt. \quad (2)$$

In the general case, and most definitely in the case of those embodiments where aerosolization is pulsed in nature, thus leading to varying mass concentrations C and flow rates Q from aerosol generator 100, the integral of Equation (2) is solved with appropriately small time

increments to precisely and accurately control the mass delivered. This is accomplished with the control and communication module CCM 400. For purposes of explanation, it may be assumed that Q and C are steady, or constant in
 5 time, in which case the integral equation solution is trivial and the transported mass is simply

$$M = QCT \quad (\text{grams}). \quad (3)$$

As a numerical example, representative values of

$$\begin{aligned} Q &= 1 \text{ liter/min} = 16.7 \text{ ml/sec} = 16.7 \times 10^{-6} \text{ m}^3/\text{sec} \\ 10 \quad C &= 1 \text{ g/m}^3 = 1000 \text{ } \mu\text{g/liter}, \quad \text{and} \\ T &= 1 \text{ sec} \end{aligned}$$

yields

$$M = 16.7 \text{ } \mu\text{g}. \quad (4)$$

That is, 16.7 μg of mass is transported within
 15 tube 107 in each second across plane AA 210 when the average flow rate $Q = 1 \text{ liter/min}$ and the Concentration $C = 1 \text{ g/m}^3$. These calculations reasonably illustrate the orders of magnitude for pharmaceutical manufacturing.

Different embodiments of the invention operate at
 20 very different values of QCT. Nanograms of mass delivery correspond to lower values of QCT, and tonnes of delivery correspond to higher values of QCT, but the principles are the same.

For purposes of accuracy and precision and on-
 25 line quality assurance, as explained below, especially when the aerosol deposition efficiency at deposition zone 300 is less than 100%, a similar measurement and calculation apply at plane BB 212, which is downstream of the deposition zone 300. Uncollected aerosols are transported within conduit
 30 108 across plane BB 212, and measured by downstream mass concentration sensor 201. The volumetric flows Q are not

1 necessarily the same at plane BB 212 as at plane AA 210;
they differ primarily by sheath or purge flows 306
introduced downstream of sensor 200. (Sheath flow 106 is
included in Q in the above calculation.) In some
5 embodiments, perforated transport tube 107 is made solid
and the purge/sheath introduced elsewhere or not at all.

It will again be appreciated that a more general
and fundamental formulation of Equation (1) is required for
more complex instrumental measurements and apparatus. A
10 vector formulation must be used if the aerosols are not
being transported at the same vector velocities as those of
the transport fluid, which fluid may be gas or liquid, or
if the transport flux varies significantly over the cross-
sections. We discovered that the assumptions enabling the
15 scalar calculations and simpler measurement systems for Q
and C and formulation $dM/dt = QC$ are frequently valid and
of important practical applicability to many of the uses of
the invention. This practical applicability is especially
valid for pharmaceutical manufacturing where the aerosols
20 are "respirable" in size, i.e., below about 10 micrometers
in diameter, and moving at velocities typically below 1
meter/sec within conduits of internal diameter of order
centimeters.

The upstream and downstream electro-optical mass
25 concentration sensors 200 and 201 for C, as well as
upstream and downstream volumetric flow rate sensors 250
and 260 for flow Q, operate at localized points within the
transport tubes or conduits 107 and 108. It follows that
these points must be representative of the total
30 transporting cross section. Upstream and downstream
volumetric gas flow rate Q sensors 250 and 260 in FIG. 1
are physically near the measurement zones 203 and 205 of
respective upstream and downstream mass concentration C
sensors 200 and 201. In the embodiment of FIG. 1 the
35 upstream and downstream volumetric gas flow sensors 250 and
260 are venturi flow sensors operating as follows: sensor
250 senses differential pressure developed between throat

tap 252 and wall tap 258, which taps are connected to differential pressure sensor 250 by tubes 254 and 256. This differential pressure reading is related to the volumetric flow rate Q flowing into venturi inlet 251 which is physically very near upstream mass concentration sensor measurement zone 203. Accordingly, in this manner C and Q are measured at the same thermodynamic and fluidynamic conditions. Elements and function of downstream volumetric flow sensor 260 are identical. Whereas it is important that C and Q correspond to the same conditions, in some embodiments volumetric flow rate Q is measured elsewhere in the system, but the readings are always adjusted to correspond to the conditions at the location of the mass concentration C sensors.

Whereas most of the drawing in FIG. 1 is block in format, collection surface or volume in deposition zone DZ 300 is shown schematically but without scale to more clearly describe its function in the aerosol mass delivery and collection system 1. Simply stated, the purpose of that part of the system prior to plane AA 210 is to precisely and accurately control the delivery of the aerosol mass which is transported across plane A 210 and then, presumably without significant loss or gain, delivered finally to collection surfaces or volumes 310 in deposition zone 300. The purpose of that part of the system downstream of deposition zone 300 is to assure that all, or at least a measured amount or fraction, of the mass reaching collector 310 is retained thereby, by measuring the uncollected mass with sensor 201, and then to filter the fluid flow 50 prior to entrance into downstream pump 52 and into the environment 53.

In this embodiment, the uncollected aerosol mass reaching transport plane BB 212 is determined based on measurements by downstream mass concentration sensor 201 and downstream volumetric flow rate sensor 260, and this mass is subtracted from that crossing plane AA 210. Mass conservation or mass balance yields the net mass M_n

actually retained by collector 400, again assuming no loss or gain of mass elsewhere:

$$M_n = \int_0^T (Q_a C_a - Q_b C_b) dt. \quad (5)$$

5 The a and b subscripts refer to planes AA and BB. Validity of the assumption that the difference is just the mass deposited is verified operationally, and leads to on-going calibration corrections for wall losses or gains or small shifts in sensor calibrations. Wall losses are minimized by introduction of sheath gas flows 106 and 306.

10 Electro-optical sensors 200 and 201 which are satisfactory for most applications are well known and are manufactured as standard products by ppm, Inc., of Knoxville, Tennessee. The series of standard sensor known as "TX," originally developed for inhalation toxicology

15 work, with its associated electronics, has been found to be entirely satisfactory in embodiments of the invention, especially with its mass fraction capabilities.

2. Aerosol Generators

Described next are details of four embodiments of

20 aerosol generator (100). In general, these embodiments have the following common features or elements: high velocity, approaching Mach 1, aerosolizing jets; small, of order 1 mm³ "pockets" or fluid elements; impulsive or continuous, or both, expansive boli; delivery of the

25 expansive boli into mixing, stilling, and classifying chambers; and delivery from the mixing chambers of the so-aerosolized mass for subsequent measurement and deposition. (Known prior art aerosol generators have been used for calibrating electro-optical or other aerosol

30 sensors, for supplying aerosols for control equipment testing, and for toxicology studies, usually with animals, and are available from ppm, Knoxville TN or TSI, St. Paul,

MN. They lack elements of the subject invention and have not been and cannot be used for precisely and accurately controlled mass deliveries.)

A. Microscoop

5 FIG. 2 discloses a first embodiment for aerosol generator 100 herein referred to as a "Microscoop," that is compatible with the rest of controlled mass delivery/deposition system 1 of FIG. 1. In FIG. 2, bulk powder 109 is introduced into supply and preconditioning chamber 110 and is then transferred to aerosol generator powder chamber 112 by auger 111 or other mechanical device. Supply and preconditioning chamber 110 is sealed except for provisions to receive 114 and discharge 115 preconditioning gas 116. A suitable sensor (not shown) and motor 117 drive maintain in the aerosol generator powder chamber 112 a proper level of powder to be aerosolized 116. Other sensors (not shown) are provided to alert the operator when the supply powder level is low in supply and preconditioning chamber 110.

20 An important element of aerosol generator 100 is a "microscoop" or plunger rod 120, shown in its extended position in FIG. 2 and in enlarged detail in FIG. 3. At the tip of plunger rod 120 is a pocket 126, having a volume of order 1 mm³ or smaller. Microscoop 120 and sealing gland 130 are described in greater detail hereinbelow with particular reference to FIG. 3. In the extended position of FIG. 2, the plunger rod 120 engages and penetrates elastomer sealing gland 130 and, upon doing so, the powder "scooped" up in the pocket or tip 126 of plunger rod 120 is aerosolized by application of a high velocity jet into pocket 126 through orifice 121. This jet may be continuous or impulsive or both. For impulsive operation a high-pressure gas pulse is applied via solenoid valve 140 and the gas flows through coupling conduit 121 to orifice 122.

35 We have found it particularly advantageous to operate the orifice 122 at critical pressure ratio wherein

the jet velocity approaches Mach 1. This small, high velocity, and highly turbulent jet emanating from orifice 122 into pocket 126 very effectively aerosolizes powder 125. By "aerosolize" we mean that powder 125 is fluidized and transported from pocket 126 into expansive bolus 150 and thence into mixing chamber 152.

Microscoop 120 is rigidly secured to piston 148. Solenoid valve 144 provides compressed gas, delivered at the "In" port 146, which drives piston 148. When solenoid valve 144 is energized, piston 148 is driven from its retracted position 148R (shown dashed in FIG. 2) from the lower end of the bore 150 and intentionally "crashes" into the upper end of the bore 149, thus inducing vibratory action on powder 116 in chamber 112. The intensity of the "crash" is controlled by pneumatic damping and/or elastomer washers between the top of piston 148 and the upper end of the cylinder bore 149. The vibratory motion causes the powder 116 to feed downward and to cover microscoop 130 when it is in its retracted position 148R, which occurs when piston 148 is driven to the bottom of the bore 150 and also controllably "crashes."

Powder 116 is kept out of the annulus between microscoop plunger rod 120 and the guide hole 131 by causing gas to be driven into the annulus, where it escapes into powder chamber 112, as illustrated by gas flow arrows 132. This gas flow may also be used to condition powder 116 and is vented via the auger 111 and out through supply chamber cap 118, combining with conditioning flow 116 and discharging collectively at 115.

Referring to FIG. 3, the powder "scooped-up" by microscoop pocket 126 is impulsively aerosolized by a high pressure, short duration gas pulse, applied at the "In" port of solenoid valve 140 (FIG. 2). The gas pressure is about 10 bar and the pulse duration is about 10 milliseconds. Continuous aerosolization may also be used for powders that are easier to deagglomerate and disperse. Electrical interlocks (not shown) ensure that solenoid

valve 140 can only be energized when piston 148 is at the top of its stroke, in the extended position.

The aerosolizing gas pulse is introduced through the center of microscop rod 120, which is a drilled hole 121 preferably of about 1.0 mm. The hole or orifice 122 at the tip of microscop 120 is much smaller, about 0.25 mm; the hole or orifice 122 in FIG. 3 is at the bottom of microscop pocket 126, but it may enter elsewhere, such as through the side of pocket 126. Multiple entries into pocket 126 may be used.

The aerosolized powder and expanding, aerosolizing gas produces an "expansive bolus" 150 in FIG. 2 which energetically enters mixing, stilling and classification chamber 152, and disperses throughout. Sheath gas 159, introduced at inlet 158, and flowing through perforated walls 154, in combination with the aerosolizing gas from microscop 120, transports the aerosolized particles to the downstream parts of the system 1. Purposes of the gas flowing through the perforated walls 154 are to minimize wall deposition losses, in addition to transporting the aerosolized particles with expansive bolus 150 further into the system. In some applications, the perforated wall flows are unnecessary and the transporting gases are introduced elsewhere or not used at all. This is the case for easily aerosolized powders or whenever continuous aerosolizing and transport flows are used.

Particles which do not deagglomerate as a consequence of the aerosolization prior to and within the expansive bolus 150, or which reagglomerate, and thus are too large to ascend the tube 154, settle or "elutriate" out of the mixing chamber 152 and eventually fall back into the powder chamber 112, as indicated by settling arrows 151. An example of such classification is given later.

The nonbiased sampling feature of microscop 120 sampling of bulk powder 153 is an important aspect of this embodiment of the invention. Utilization of most of the supply powder is another important feature.

Referring in particular to FIG. 3, microscop
plunger 120 is shown just prior to engaging elastomer gland
130. Also shown are the compressed gas delivery hole 121,
the aerosolizing orifice 122 and the concave microscop
5 pocket 126 holding its catch of powder 125 to be
aerosolized. Sealing by elastomer 130 permits the mixing
chamber 152 and other downstream elements to be isolated
from and at different pressures with respect to aerosol
generator 100. Elastomer gland 130 seals by virtue of the
10 spring 154 force applied to it by washer 135. Spring 157
is held in place within the delivery tube 153 and the
delivery tube assembly 155 is held together by cap 137.
All elements of the aerosol individualizer 100 are rigidly
and precisely machined and aligned, but many support and
15 assembly elements are omitted for clarity of illustration.

B. Rotary, Metering Pocket

FIG. 5 shows a second embodiment 101 of an
aerosol generator. Aerosol generator 101 comprises a body
160 in which are precisely installed, with tight seals 161
20 and 162, a powder pocket cylinder 163 within a powder
pocket cylinder cavity 180 within the body 160, and a
metering cylinder 164 within a metering cylinder cavity 186
within the body 160. One of a large plurality (tens to
thousands) of powder "pockets" 165 is shown in pocket
25 cylinder 163. The plurality of pockets 165 is machined
into pocket cylinder 163 by any suitable method, such as
precision drilling.

In close and precise proximity to powder pocket
165, and communicating via a passageway 184 within the body
30 160, are the metering cylinder 164 and fluidizing and first
individualizing or deagglomerating jet 166 emanating from
orifice 167 in high pressure delivery tube 168. First
stage aerosolization, meaning fluidization of powder 169 in
pocket 165, in combination with turbulent, counterflow
35 deagglomeration by individualizing jet 166, is achieved in
concert with controlled or metered release of powder 169

through variably open hole 170 and action of variably directed and energized jet 166.

This metered aerosolization may also be referred to as "microscooping," as in the previous embodiment. In general, "aerosolization" is referred to herein as the combined fluidization, individualization, and transport of the aerosols, be they derived from bulk powders, as in these first three embodiments, or atomized liquids, as disclosed hereinbelow with reference to FIG. 10.

Mass delivery rate dM/dt of powder 169 from powder pocket 165 is metered or controlled by gradually increasing opening 170 by rotating metering cylinder 164 and controlling the direction and intensity of jet 166. Intensity is controlled by controlling the pressure within delivery tube 168. Aerosolized powder plus gas flow or move within the pressurized space 171.

A second jet 172, emanating from orifice 173, further individualizes powder 169 and supplies additional gas flow and energy. The orifices 167 and 173 are drilled into high pressure delivery tube 168. Individualizing jet 174, emanating from orifice 175, comprises a third stage of deagglomeration. The flow in jet 174 is the combined flows from of jets 166 and 172. Note that jet 174 moves in the same direction as aerosolized particles 176, in contra distinction with the counterflow movement with respect to jet 166.

The output 176 from aerosol generator 101, in the form of an expansive bolus 176, is delivery of aerosolized powder having high average concentration C in known volumetric flow rate Q of the aerosolizing/transport gas. This aerosol generator system 101 is appropriate for multiple deliveries, whereas the microscoop aerosol generator 100 apparatus disclosed above (FIGS. 1, 2) is more appropriate for single or a few deliveries. Representative dimensions and operational parameters for aerosol generator 101 and its output delivery 176 are:

	Volume of Powder Pocket 165	~1 mm ³
	Weight of powder in Pocket 169	250 µg
	Diameter of Picket Cylinder 163	25 mm
	Diameter of Metering Cylinder 164	10 mm
5	Diameter of Gas Delivery Tube 168	5 mm
	Diameter of Orifices 167 and 173	0.25 mm
	Diameter of Orifice 175	0.35 mm
	Pressure of Gas in Delivery Tube 168	10 bar
	Pressure of Gas in Fluidizing Chamber 171	5 bar
10	Duration of Pressure Pulse in Tube 168	100 ms
	Rate of Pressure Pulses	1/sec
	Volumetric Flow Rate Q	
	during Pressure Pulse	167 cm ³ /sec
	(= 167 x 10 ⁻⁶ m ³ /sec = 10 liters/min)	
15	Mass Concentration C during Pressure Pulse	15 g/m ³
	(250 x 10 ⁻⁶ g/167 x 10 ⁻⁶ m ³ sec x 0.1 sec)	

Pulsed pressure operation as short as 10 ms is possible, as is continuous operation.

Materials of construction are as follows:

20	Body 160	Nylon, Delrin or UHMW
	Pocket Cylinder 163	Al
	Metering Cylinder 164	SS
	Pressure Tube 168	SS

FIG. 6A depicts loading step 21, symbolized as 11 in FIG. 1, for introducing bulk powder 20 into each of the plurality of pockets 165 in pocket cylinder 163. Powder loaded into pockets 165 is designated 169. Loading apparatus 21 includes a metering auger 22 and tramper or packer 23. The bulk powder 20 thus transferred to pocket 165 when powder chamber cylinder is in loading position 31.

FIG. 6B illustrates a preconditioning position 32 of typical pocket 165 in pocket cylinder 163. Preconditioning is done by, for example, slowly drawing a vacuum and then slowly repressurizing pocket 165 with

another gas. Slow pressure changes are necessary to avoid fluidizing the powder prematurely.

FIG. 6C, where the pocket 165 is in active position 33, is functionally the same as FIG. 5 except second and third stage individualizing jets 172, 174 are omitted. This is satisfactory for powders which are relatively large and easy to deagglomerate or individualize and enables a simpler and less expensive apparatus.

FIG. 7 shows a more complete aerosol generator system 102, including a cyclone 46 and, schematically, a measurement and control system 401, similar in function to the CCM 400 in FIG. 1. Aerosol Generator 102 in FIG. 7 is like the aerosol generator 101 of FIG. 6C with the addition of high pressure impaction stage 42, for the most aggressive deagglomeration. Also shown are sheath gas 44 to minimize wall deposition. Cyclone 46 acts as a mixing, stilling, and classification chamber, similarly to mixing chamber 152 in FIG. 2. Large particles are removed from the bottom of cyclone 46.

Further shown in FIG. 7 are electro-optical mass concentration sensor 200, which are physically identical and function identically to electro-optical sensor 200 in FIG. 1, and electro-optical mass concentration sensor 203, which is physically similar and functions as a preliminary monitoring element, thus enabling faster response to output fluctuations in aerosol generator 100. Such sensors are manufactured by ppm, Inc., Knoxville, TN. Also shown in FIG. 7 is a microcontroller-based computer 400, which may be identical to CCM 400 of FIG. 1. Various inputs 410 and outputs 440 are used to monitor and control the deliveries of aerosolized mass and flows, as described above.

With particular attention to one 424 of the plurality of deliveries 424, 426, 427 diverter valve 422 causes the aerosols and gas flow in delivery 424 to move in direction 425 toward a deposition zone (not shown in FIG. 7) or in direction 426, toward the HEPA filter 51 and suction device 52. These latter elements 51, 52 are

identical with those in FIG. 1. Two other deliveries 426, 427 illustrate the plurality of deliveries for which aerosol generator 100 in FIG. 7 is capable of serving. Each such delivery has similar elements as delivery 424, including downstream sensors 201, as in FIG. 1.

Each output 424, 426, 427 of aerosol generator 102 is an aerosolized powder having controllable mass concentration C (g/m^3) in transport gas having independently controllable and known volumetric flow rate Q (m^3/sec). Thus aerosol generator 102, in each of its plurality of deliveries such as delivery 424, obeys basic control Equation (4), above.

C. MegaDose Disc

FIG. 8 is an elevational, partially cut-away view of a third embodiment of an aerosol generator 103, also directed to aerosolization of bulk powders, as were the previous two. In a preparation step, bulk powder is loaded into a large plurality (~10,000) of metering pockets 506 formed in surface 501 of disc 500. Each metering pocket 506 has diameter of about 0.5 mm, a depth of about 10 mm, a volume of about 2 mm^3 , and each holds about $1 \text{ mg} = 1000 \mu\text{g}$ of bulk powder 502. Each pocket 506 can supply $100 \times 10 \mu\text{g}$ doses, hence the name "MegaDose Disc." It may be appreciated that these discs can supply either more smaller doses or fewer larger doses. It may also be appreciated that such discs, with suitable covers and protection, are ideal for transport and storage of expensive and sensitive powders.

A stepper motor 504 having 0.9 degree/step or 400 steps/revolution is used to drive disc 500, with 1 mm minimum spacing between pocket centerlines. The minimum radius R_1 is then 64 mm. Each pocket circle has 400 equally spaced pockets but, whereas the angular spacing between pockets is constant at 0.9 degrees, the physical spacing increases as the radius of the pocket circles increases. If, as a representative example, 10,000 pockets

are desired, then 25 pocket circles are required. A constant spacing between the successive pocket circles of 1 mm 508 leads to the outer pocket circle having

$R2 = 64 + 24 = 88$ mm. The outer radius of the disc

- 5 $R3 = R2 + R4 = 88 + 40 = 128$ mm. $R4 = 40$ mm is the outer radius of the mixing/stilling/classifying chamber 588 seen in FIG. 8.

- The inner radius $R5$ of the disc mounting hole is about 24 mm. This 48 mm diameter hole fits tightly over
10 hub 510 and is held in position by top cap 512. Rotational alignment and drive pins 514 assure that the active pocket 540 is always directly under fluidizing body jet 560, with the very tight tolerances required, in combination with transverse stepping motion 516, where the steps are
15 precisely 1 mm each. Unshown apparatus applies an upward, sealing force $F1$ 517 to disc 500, except during disc 500 rotation or translation. Stepper motor 504 and hub 510 permit vertical motion 518 for installing and removing discs 500. Force $F2$ 519 is applied to top cap 512 to
20 assure proper drive torque without slippage.

- FIG. 9 is an enlarged view around active pocket 540, one other of the plurality of pockets 506. Disc 500 is pressed upward against baseplate 550 by force $F1$ 517. Sealing around active pocket 540 is realized by elastomer
25 washer 552 seated in counterbore 554. Pocket jet body 560 is sealed into baseplate 550 by O-rings 562, 564 and is held in place by multiple cap screws 566, only one of which is shown. Fluidizing/deagglomerating jet 568 emanates from orifice 570 and acts on powder 502 in active pocket 540,
30 driving it outwards, in a turbulent, counterflow sense. Energization of this jet 568 is explained below. Exit orifice 572 in the top of pocket jet body 560 restricts the egression of powder and gas, thus further deagglomerating the powder by the "turbulent milling" action driven by jet
35 568 within pocket 540 and by its extension 541 into body 560, and causes elevated pressures within the pocket 540. These higher pressures cause the gas to expand upon leaving

orifice 572 and thereby effect more aggressive deagglomeration. Orifice 572 diameter is preferably about 0.35 mm when the pocket 540 diameter is about 0.5 mm.

The "expansive bolus" 574 of gas and powder and the other components above the pocket jet body 560 are discussed again hereinbelow, following an explanation of how pocket jet 568 is energized. The expansive boli 150, 176, 574 of FIGS. 2, 7 and 8, respectively, are a common element of the disclosed aerosol generators 100, 102 and 10 103.

Orifice 570 is in pocket jet body 560 and is the orifice from which pocket jet 568 emanates. Orifice 570 may be drilled in the body 560, or a capillary, preferably stainless steel, may be glued into a pilot hole. Multiple 15 orifices 570 may be used. Orifice or capillary internal diameters are in the range of 0.25 mm. A feeding plenum 576 is formed between the lower section 561 of pocket jet body 560 and a counterbore 559 in the baseplate 550. Pressurized gas is delivered to the feed plenum 576 by 20 coupling hole 578 which is connected to fitting 580. Referring also to FIG. 8, solenoid valve 584, upon being electrically energized, connects the pocket jet 568 feed system 580, 578 to the high pressure gas supply 586, about 10 bar. Pulse durations of about 10 ms usually sweep out 25 the powder 502 in pocket 540 in a single pulse, typically, but the number of pulses and their duration depends on the powder being aerosolized. Continuous operation of jet 568 may also be employed.

Still referring to FIG. 8, the expansive bolus 30 574 resulting from such short pulses represents a small fraction, typically 1-10%, of the volume in mixing/stilling/classification chamber 588. The mixing chamber 588 thus stills and mixes the bolus just delivered with boli delivered earlier. There is a classification 35 feature, as particles whose Stokes settling velocity is higher than the upward flow velocity "elutriate out" or simply fall down, as indicated by wavy arrows 589. In

most applications it is desirable to assure that particles larger than some preselected cut-off are not in the effluent from the mixing/stilling/classification chamber 588. For 1 liter/min upward flow in chamber internal diameter of 75 mm, the upper cut-off is an aerodynamic equivalent particle diameter of about 11 μm .

The upward flow component is provided by steady flow 591 through perforated flow distribution plate 590, which total flow 593 is supplied by solenoid valve 592.

In some cases, when impulsive flow from orifice 572 is used and the flow and pressure fluctuations cannot be controlled by downstream apparatus or is otherwise unacceptable, solenoid valve 592 is also connected to a negative pressure or suction 594 and is operated in synchronism with energization of the pocket jet 568, in a "push-pull" manner that minimizes or cancels pressure and flow fluctuations.

With reference to FIG. 8, the smooth transition and inlet 596 from the mixing/stilling/classification chamber 588 into the first sensor bore 200 has practical importance. Experience has shown that this transition is important for the validity of the scalar calculation of mass delivery rate dM/dt discussed above.

D. Atomized Liquid

FIG. 10 discloses another aerosol generator embodiment 104 which likewise involves injecting expansive boli of aerosols and gas 601 into a mixing/stilling/classification chamber 602. Two-fluid atomizer nozzle 600 injects, impulsively or continuously, its boli into mixing chamber 602, which functions essentially identically as mixing chamber 588 described above with reference to FIGS. 8 and 9, including provision of steady, upward gas flows 604 derived from supply 606. Operation at the "micropocket" level is also essentially identical, wherein aerosolizing (or "atomizing" for

liquids) is effected in pocket volumes of under 1 mm³, with high velocity jets.

Atomizing gas 608 and transporting gas 604 may be different in composition or initial temperature. The active ingredient is delivered in liquid solution 610. Upon impulsive or continuous excitation of the atomizer 600, the droplets in the boli rapidly evaporate the solvent into the gaseous phase, leaving the solute residue as the active aerosol whose mass deliveries are precisely and accurately controlled exactly as disclosed above.

The embodiment of FIG. 10 is important for a number of reasons, a significant one of which is that the aerosols are actually manufactured within aerosol generator 104, thus avoiding the difficulties and expenses of separately manufacturing and handling bulk powders, only to reaerosolize them again. This is particularly advantageous when the feedstock 610 for the aerosols is a dilute solution, with a volatile solvent.

Still referring to FIG. 10, the bottom inlet of mixing/stilling/classifying chambers 602 may be connected directly to any processes wherein dry or wet aerosols are being manufactured. By causing the concentrations C and flow rates Q, which are required in the disclosed embodiments of the invention for controlled deliveries, to be compatible with the manufacturing process, then the bulk formation and handling of the powders can also be bypassed.

3. Deposition or Collection

A. Porous Media

FIG. 4A is an enlarged view of the deposition zone 300 and better shows inert, porous media collector 310, as seen first in FIG. 1, with the system closed and in the aerosolized mass delivery-collection mode. This collection element 310 resembles a small inverted, porous cup with tapered interior surface 311 which matches and thus seals to the taper of tube 309. Perforated metal cup 314 fits around the outside of inert media collector 310 to

support it and also has a tapered surface to provide a sealing force against the taper 309, and to enable transport gas to be drawn (or pushed and drawn, with positive and negative pressures, respectively), through the upstream and downstream surfaces of inert media collector 310. The sealing force is provided by spring 316. Outer shell 312 seals against inner shell 308 and pipe 318, both with elastomer O-rings.

The mechanical material properties of inert media collector 310 that are important for collection are: dry strength, porosity or permeability, capture efficiency for the active component collected, and costs. Preferably, when the active component is a dry powder having mass mean diameter of 10 micrometers, the incipient media collector is a powder having particle size about 200 micrometers, thickness of about 1 mm, and weight of about 100 mg. Care must be taken in selection and application of binder agents in the manufacture of porous media 310. Such agents must be satisfactory for human use and also must not diminish the permeability of collector 310 excessively. Active component loading should not exceed 10 mg and the aerosol generation section will need to operated at lower transport flows Q. Capture efficiencies for such collectors can approach 100% for the large particle size indicated above. However, if the delivered aerosol mass mean diameter is below about 1 μm , the capture efficiency drops and it is necessary to correct for the mass delivered but not captured and retained, according to the methods described hereinabove.

The requisite properties for subsequent use include suitable for human consumption, shelf life, interactions with the active component, inertness, solubility, and the like.

B. Impactive Deposition

FIG. 4B illustrates a system 2 for controlled aerosolized mass delivery comprising, similar to FIG. 1

system 1, and comprising aerosol generator 105, upstream and downstream mass concentration sensors 200, 201 and deposition zone 301, described in more detail hereinbelow. Not shown in FIG. 4B is control and communications module 400 of FIG. 1 and connections thereto. In FIG. 4B, aerosol generator 105 employs a MegaDose Disc 500 as described hereinabove with reference to FIGS. 8, 9, but with the aerosolizing gas flow Q entering the bottom of pocket 569, via orifice 567.

Differences of the embodiment of FIG. 4B compared to the embodiments of FIGS. 1, 2 and 8 include the absence of perforated walls 107, 154 and inward, additional flows associated therewith. Thus, the continuous or impulsive aerosolizing gas flow Q, measured by volumetric flow rate sensor 145 and, importantly, reported to CCM 400, and adjusted to the pressure and temperature conditions within sensor 200, is the correct known volumetric flow rate for calculating upstream mass delivery rate $dM/dt = QC$. For emphasis, it is necessary that the known Q be in terms of volumetric flow rate at the point at which C is measured, as in upstream sensor 200. It is not necessary to actually measure Q at that point. These concepts apply to the determination of all other mass delivery rates herein.

As an illustrative example, the pressure and temperature in volumetric flow rate sensor 145 may be 20 bar and 21° C. This aerosolizing gas is delivered to orifice 567 via coupling conduit 565. Aerosolizing action upon powder 503 in pocket 569 is effected by high velocity jet emanating from orifice 567, thus driving expansive bolus 575 into mixing chamber 588. After pressure and thermal equilibration, aerosolized powder 503 (from one or more pockets 567) and transporting gas arrive at the measurement zone 203 of upstream mass concentration sensor 200, where the pressure may be 1 bar and the temperature 21° C. Accordingly, the known volumetric flow rate Q inside sensor 200 is expressed in terms of local pressure and temperature but it can be measured anywhere in the

system, such as shown by sensor 145 in FIG. 4B. (In this example, the actual volumetric flow measure within and by volumetric flow rate sensor 145 is one-tenth that inside mass concentration sensor 200, according to gas law corrections.)

FIGS. 4B and 4C illustrate, in deposition zone 301, deposition or collection devices using principles of aerosol impaction primarily comprising impactor jet 330, impactor plate 332, downstream plenum or suction plenum 334, and output conduit 336. Filter 51 and pump 52 perform the same functions as in FIG. 1 but pump 52 must operate at strong suction (<0.5 bar) if the pressure in sensor 200 is 1 bar, essentially the same as the pressure entering impactor nozzle 338. When the impactor upstream/downstream pressure ratio exceeds 2:1, the velocity of impactor jet 330 approaches sonic velocity or Mach 1. If the taper of nozzle 338 is gradual, less than about 3° , aerosols 340 accelerate and approach the high velocity of impactor jet 330. Aerosols 340 above a "cut-off" size cannot follow the flows 342 across the impactor plate 332 and are deposited on or collected by impactor plate 332 in deposition area 346. Particles 340 larger than $5 \mu\text{m}$ are readily collected with greater than 90% efficiencies on impactor plate 332 when impactor jet 330 velocity is greater than Mach 0.3. The delivery rate of particles not collected on impactor plate 332 and transported into output conduit 336 and to downstream sensor 201 are measured to determine the net deposition, according to Equation (5) and the methods thereto related and described hereinabove. The volumetric flow rate used in combination with downstream mass concentration sensor 201 must be at the pressure and temperature conditions in measurement zone 205 within sensor 201. This known flow rate Q can be measured at those conditions or determined elsewhere and corrected to those conditions, as described above.

FIG. 4C shows multiple deposition areas 344, 346, 348 on impactor plate 332. Impactor plate 332 may be of

any material suitable for human contact or consumption but relatively soft or waxed or oiled plastic is preferred. The aerosolized mass dosages in deposition areas 344, 346, 348 seen in FIG. 4D are the final product of the controlled delivery and deposition apparatus. These deposition areas are accessible for removal upon transfer out of deposition zone 300 by straightforward translation and gas system isolation devices shown in FIGS. 4C (closed) and 4D (open).

C. Electrostatic Collection

FIGS. 4E and 4F show another deposition embodiment for controlled aerosol delivery and deposition within a system 3, wherein aerosolized mass 360 is delivered from aerosol generator 105 as in FIG. 4B to drift tube 362. The mass amount is determined precisely and accurately according to the methods disclosed by Equation (5) and the apparatus and procedural steps thereto related, including CCM 400 as seen in FIG. 1 and understood to be controlling delivered mass amount 360 in FIG. 4E. Downstream mass concentration sensor 201 is unnecessary in this case but may be used to monitor proper operation.

After loading predetermined aerosolized mass 360, drift tube 362 is removed from the mass delivery section 365 and the next drift tube 363 is moved into position by an automatic mechanical handler (not shown). After removal from deposition section 365, drift tube 362 is inserted into deposition section 366, seen in FIG. 4F. Displacement gas 367 enters the top of drift tube 362 and, in combination with pump 52, pushes/pulls aerosol mass 360, having predetermined mass, downward and over electrostatic deposition surface 368. Downstream mass concentration sensor 201 serves the same function as in all previous deposition embodiments.

Electrostatic charges on and in plastic deposition film 368 are generated by mechanical rubbing contact of dissimilar material 370 on roller 371 with deposition film 368. Collection efficiencies of this

method are satisfactory provided the velocities of the powder particles 360 approaching the deposition film are low, of order 1 cm/sec. (This velocity is in contrast to velocities of order 1 m/s for porous media of FIG. 4A or
5 order 10 m/s for impaction of FIG. 4B.)

The loading of drift tubes 362 in FIG. 4E and deposition of the predetermined load or dose of aerosolized mass 360 are advantageously separate operations. Loading of tens of micrograms may require only five seconds in mass
10 delivery section 365 (FIG. 4E), whereas deposition of that load in deposition section 366 (FIG. 4F) may require 100 seconds. Thus, one loading section 365 can service about twenty deposition sections 366.

Industrial Applicability

15 The way in which the invention is capable of being exploited and the way in which it can be made and used will be apparent from the foregoing.

Claims

1. A system (1) for delivery and deposition of aerosolized masses, comprising:

an aerosol generator (100);

5 an upstream electro-optical mass concentration sensor (200), and a source of gas flow for transporting aerosols past said upstream electro-optical mass concentration sensor (200) at a known upstream volumetric flow rate;

10 a deposition zone (300) for collecting aerosols on or within a media;

a downstream electro-optical mass concentration sensor (201) for measuring the mass concentration of aerosols uncollected in said deposition zone, and a

15 conduit (108) for transporting uncollected aerosols past said downstream electro-optical mass concentration sensor (201) at a known downstream volumetric flow rate; and

a controller (400) connected to said upstream and downstream mass concentration sensors (200, 201) and

20 determining the net mass of aerosols collected within said deposition zone (300) by integrating over time the product of mass concentration measured by said upstream electro-optical sensor (200) and the known upstream volumetric flow rate minus the product of mass concentration measured by
25 said downstream electro-optical sensor (201) and the known downstream volumetric flow rate.

2. The system of claim 1, which further comprises an upstream volumetric flow rate sensor (250) for determining the upstream volumetric flow rate, and wherein
30 said controller (400) is connected to said upstream volumetric flow rate sensor (250).

3. The system of claim 2, which further comprises a downstream volumetric flow rate sensor (260) for determining the downstream volumetric flow rate, and

wherein said controller (400) is connected to said downstream volumetric flow rate sensor (260).

4. The system (1) of claim 1, wherein said aerosol generator (100, 101, 102, 103, 105) comprises:

5 a metering pocket (126, 165, 506, 540, 569), with powder loaded into said metering pocket (126, 165, 506, 540, 569);

a jet (122, 166, 568, 567) for directing high velocity gas into said metering pocket (126, 165, 506, 540, 569) so as to fluidize the powder and produce an expansive bolus (150, 176, 574, 575); and

10 a mixing chamber (152, 46, 588) into which the expansive bolus (150, 176, 574, 575) is directed.

5. The system (1) of claim 4, wherein said metering pocket (126, 165, 506, 540, 569) is a micropocket having a volume of the order of one cubic millimeter.

6. The system (1) of claim 4, wherein said jet (122, 166, 568, 567) directs gas at a velocity approaching Mach 1 into said metering pocket (126, 165, 506, 540, 569).

20 7. The system (1) claim 5, wherein said aerosol generator (100) further comprises:

a powder chamber (112) containing powder to be aerosolized;

a sealing gland (130) separating said powder chamber (122) and said mixing chamber (152); and wherein

25 said micropocket metering pocket (126) comprises a microscop in the form of a plunger rod (120) having a tip with said micropocket metering pocket (126) formed within said tip, said plunger rod (120) passing through powder in said powder chamber (112) so as to load powder within said micropocket metering pocket (126) and then

30 engaging and penetrating said sealing gland (130).

8. The system (1) of claim 5, wherein said aerosol generator (100, 101) further comprises:

a body (160);

a powder pocket cylinder cavity (180) within said
5 body (160) and a powder pocket cylinder (163) within said powder pocket cylinder cavity (180), said powder pocket cylinder (163) having an outer cylindrical surface (182) and a plurality of metering pockets (165) formed within said cylindrical surface (182), and

10 a passageway (184) within said body (160) communicating with a metering pocket of said plurality (165) when said metering pocket (165) is in an active position so as to provide access to said metering pocket (165).

15 9. The system (1) of claim 8, wherein said aerosol generator (100, 101) further comprises:

a metering cylinder cavity (186) within said body (160) and a rotating metering cylinder (164) within said metering cylinder cavity (186), said rotating metering
20 cylinder comprising an outer tube (164) with first and second openings (170, 175) in the wall of said outer tube (164), said first opening (170) being selectively alignable with said passageway (184) communicating with said metering pocket (165); and wherein

25 said gas jet (166) is within said outer tube (164) and directs high velocity gas through said first opening (170) into said metering pocket (165), thereby fluidizing powder (169) which passes through said first opening (170) into the interior of said outer tube (164)
30 and out through said second opening (175) as an expansive bolus.

10. The system (1) of claim 5, wherein said aerosol generator further comprises a megadose disc (500) having a surface (501) and a plurality of metering pockets
35 (506) formed in said surface (501).

11. The system (1) of claim 1, wherein said aerosol generator (104) comprises:

a source of a liquid solution (610) of an active ingredient and a volatile solvent;

5 an atomizer (600) for atomizing the solution to produce droplets from which the solvent evaporates to leave an expansive bolus (601) of solute residue; and

a mixing chamber (602) into which the expansive bolus (601) is directed.

10 12. The system (1) of claim 1, wherein said deposition zone (300) comprises:

a porous media collection element (310);

15 an aerosol delivery tube (309) positioned generally against an upstream side of said porous media collection element (310) for delivering aerosols transported by a fluid; and

a perforated support element (314) positioned generally against a downstream side of said porous media collection element (310).

20 13. The system (1) of claim 1 wherein said deposition zone (300, 301) comprises:

an impactor plate (332);

25 an impactor jet (330) for directing aerosols transported by a fluid against said impactor plate (332) for deposition thereon; and

an output conduit (336) for conveying away fluid and aerosols not deposited on said impactor plate (332).

14. The system (1) of claim 1 wherein said deposition zone (300) comprises:

30 a mass delivery section (365) for loading an aerosolized mass (360) into a removable drift tube (362); and

a deposition section (366) receiving said drift tube (362) and including a source of displacement gas (367)

for directing the aerosolized mass (360) over a deposition surface (368).

15. An aerosol generator (100, 101, 102, 103, 105) for producing an aerosolized powder, said aerosol generator (100, 101, 102, 103, 105) comprising:

a metering pocket (126, 165, 506, 540, 569), with powder loaded into said metering pocket (126, 165, 506, 540, 569);

a jet (122, 166, 568, 567) for directing high velocity gas into said metering pocket (126, 165, 506, 540, 569) so as to fluidize the powder and produce an expansive bolus (150, 176, 574, 575); and

a mixing chamber (152, 46, 588) into which the expansive bolus (150, 176, 574, 575) is directed.

16. The aerosol generator (100, 101, 102, 103, 105) of claim 15, wherein said metering pocket (126, 165, 506, 540, 569) is a micropocket having a volume of the order of one cubic millimeter.

17. The aerosol generator (100, 101, 102, 103, 105) of claim 15, wherein said jet (122, 166, 568, 567) directs gas at a velocity approaching Mach 1 into said metering pocket (126, 165, 506, 540, 569).

18. The aerosol generator (100, 101, 103, 105) of claim 15, wherein said jet (122, 166, 568, 567) directs gas impulsively into said metering pocket (126, 165, 506).

19. The aerosol generator (100, 101, 103, 105) of claim 15, wherein said jet (122, 166, 568, 567) directs gas continuously into said metering pocket (126, 165, 506, 540, 569).

20. The aerosol generator (100, 101, 103, 105) of claim 15, wherein said jet (122, 166, 568, 567) directs

gas both continuously and impulsively into said metering pocket (126, 165, 506, 540, 569).

21. The aerosol generator (100, 105) of claim 15, wherein said jet (122, 567) directs high velocity gas into said metering pocket through a passageway (122, 567) in a wall of said metering pocket (126, 569).

22. The aerosol generator (101, 103) of claim 15, wherein said jet (166, 568) directs high velocity gas into said metering pocket (165, 540) from outside said metering pocket (165, 540).

23. The aerosol generator (100) of claim 16, which further comprises:

a powder chamber (112) containing powder to be aerosolized;

a sealing gland (130) separating said powder chamber (122) and said mixing chamber (152); and wherein said micropocket metering pocket (126) comprises a microscop in the form of a plunger rod (120) having a tip with said micropocket metering pocket (126) formed within said tip, said plunger rod (120) passing through powder in said powder chamber (112) so as to load powder within said micropocket metering pocket (126) and then engaging and penetrating said sealing gland (130).

24. The aerosol generator (100, 101) of claim 16, which further comprises:

a body (160);

a powder pocket cylinder cavity (180) within said body (160) and a powder pocket cylinder (163) within said powder pocket cylinder cavity (180), said powder pocket cylinder (163) having an outer cylindrical surface (182) and a plurality of metering pockets (165) formed within said cylindrical surface (182), and

5 a passageway (184) within said body (160)
communicating with a metering pocket of said plurality
(165) when said metering pocket (165) is in an active
position so as to provide access to said metering pocket
(165).

25. The aerosol generator (100, 101) of
claim 24, which further comprises:

10 a metering cylinder cavity (186) within said body
(160) and a rotating metering cylinder (164) within said
metering cylinder cavity (186), said rotating metering
cylinder comprising an outer tube (164) with first and
second openings (170, 175) in the wall of said outer tube
(164), said first opening (170) being selectively alignable
with said passageway (184) communicating with said metering
15 pocket (165); and wherein

said gas jet (166) is within said outer tube
(164) and directs high velocity gas through said first
opening (170) into said metering pocket (165), thereby
fluidizing powder (169) which passes through said first
20 opening (170) into the interior of said outer tube (164)
and out through said second opening (175) as an expansive
bolus.

26. The aerosol generator (100, 103) of
claim 16, which further comprises a megadose disc (500)
25 having a surface (501) and a plurality of metering pockets
(506) formed in said surface (501).

27. An aerosol generator (104) for producing an
aerosolized powder, said aerosol generator (104)
comprising:

30 a source of a liquid solution (610) of an active
ingredient and a volatile solvent;

an atomizer (600) for atomizing the solution to
produce droplets from which the solvent evaporates to leave
an expansive bolus (601) of solute residue; and

a mixing chamber (602) into which the expansive bolus (601) is directed.

28. A deposition zone (300) for collecting aerosolized masses, comprising:

- 5 a porous media collection element (310);
 an aerosol delivery tube (309) positioned generally against an upstream side of said porous media collection element (310) for delivering aerosols transported by a fluid; and
10 a perforated support element (314) positioned generally against a downstream side of said porous media collection element (310).

29. The deposition zone (300) of claim 28, wherein:

- 15 said aerosol delivery tube (309) has a tapered end; wherein
 said perforated support element (314) is cup-like in configuration with a tapered interior surface; and wherein
20 said porous media collection element (310) is cup-like in configuration having a tapered interior surface matching the tapered end of said aerosol delivery tube (309) as the upstream side, and a tapered exterior surface matching the taper of said perforated support element (314)
25 as the downstream side.

30. A deposition zone (301) for collecting aerosolized masses, comprising:

- an impactor plate (332);
 an impactor jet (330) for directing aerosols
30 transported by a fluid against said impactor plate (332) for deposition thereon; and
 an output conduit (336) for conveying away fluid and aerosols not deposited on said impactor plate (332).

31. The deposition zone (301) of claim 30, wherein said impactor jet (330) directs aerosols transposed by a gas at a velocity approaching Mach 1 against said impactor plate (332).

5 32. A deposition zone for collecting aerosolized masses, comprising:

 a mass delivery section (365) for loading an aerosolized mass (360) into a removable drift tube (362); and

10 a deposition section (366) receiving said drift tube (362) and including a source of displacement gas (367) for directing the aerosolized mass (360) over a deposition surface (368).

 33. The deposition zone of claim 32, wherein
15 said deposition surface (368) is electrostatically charged for attracting aerosols.

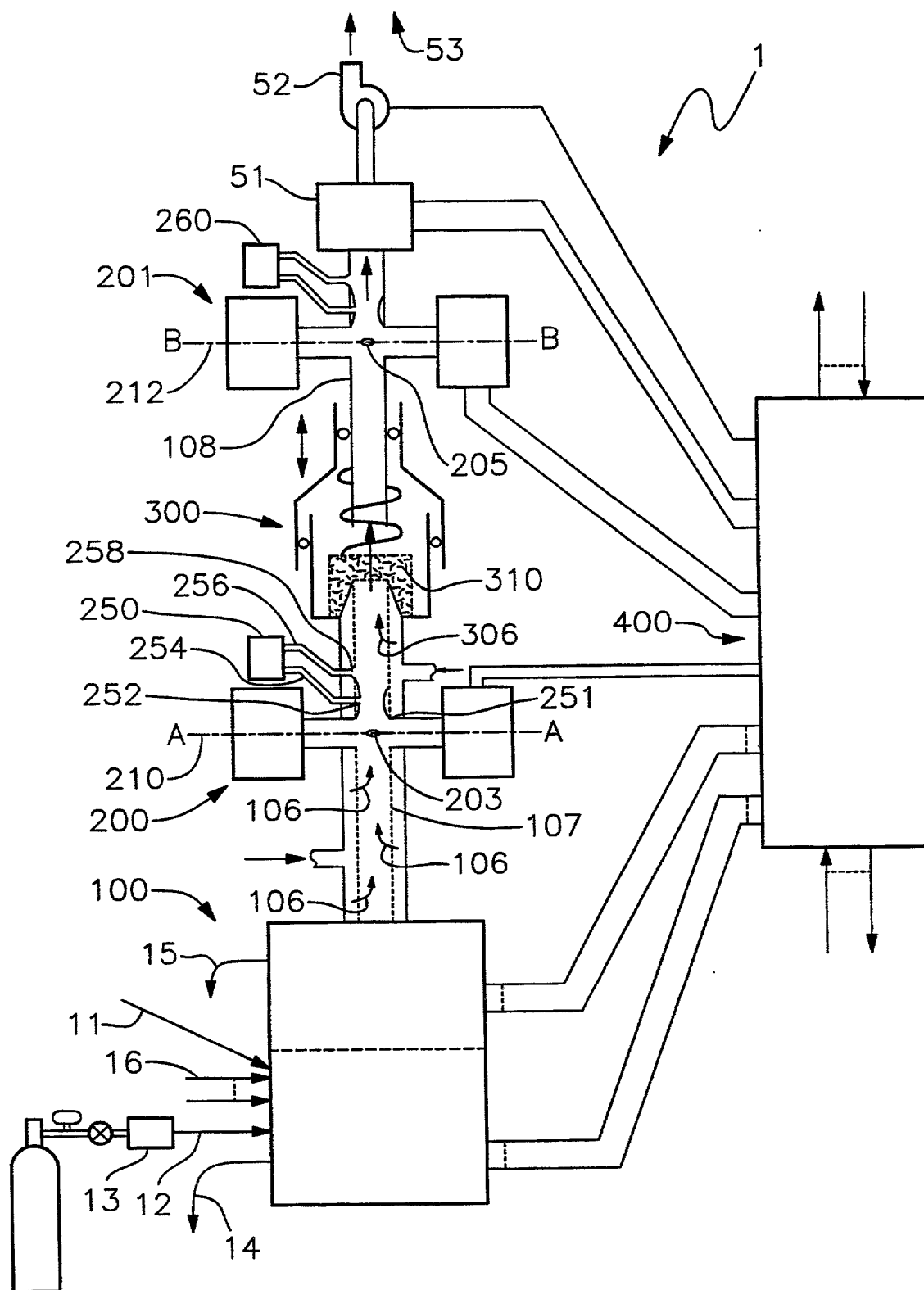


Fig. 1

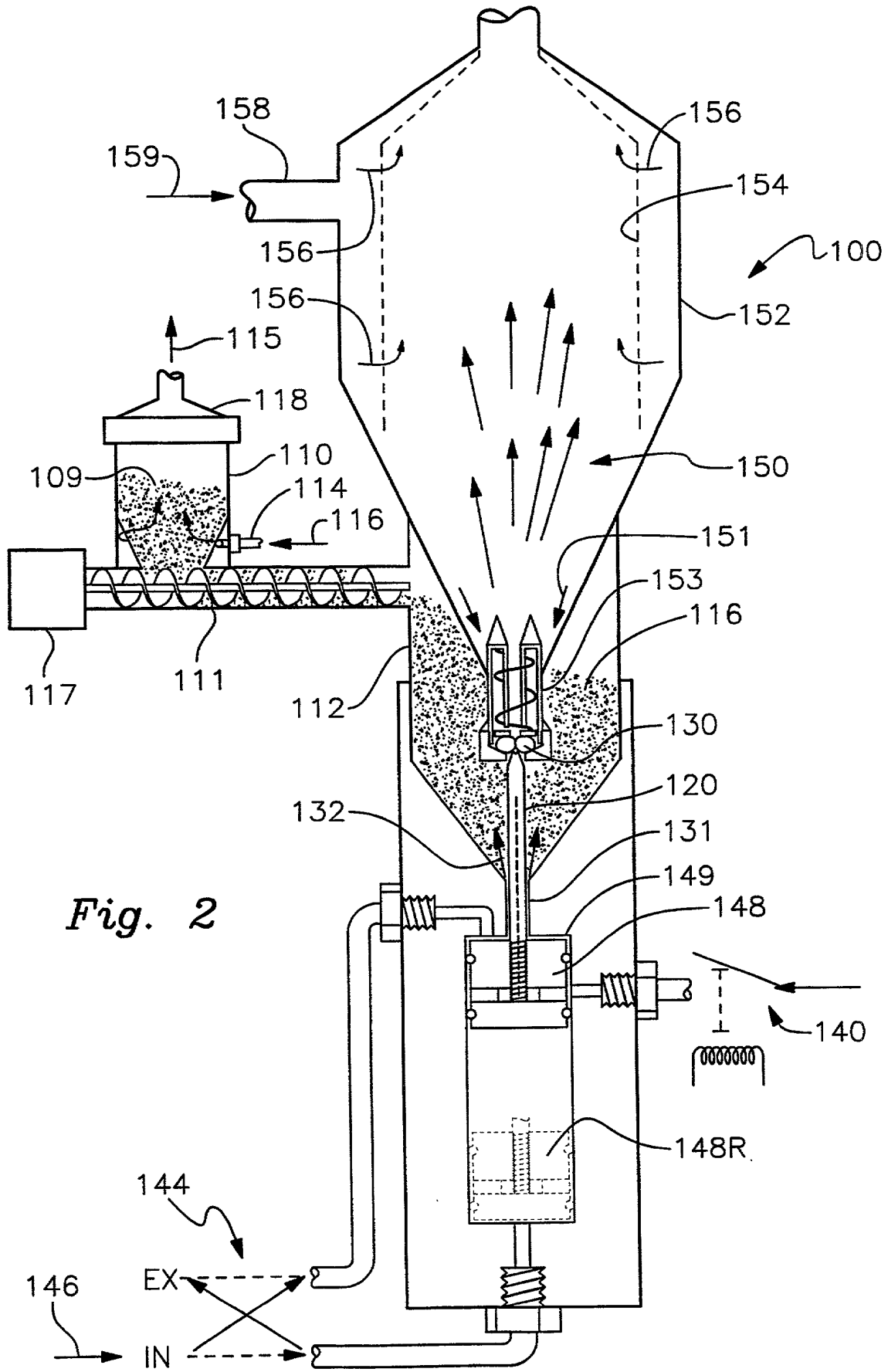
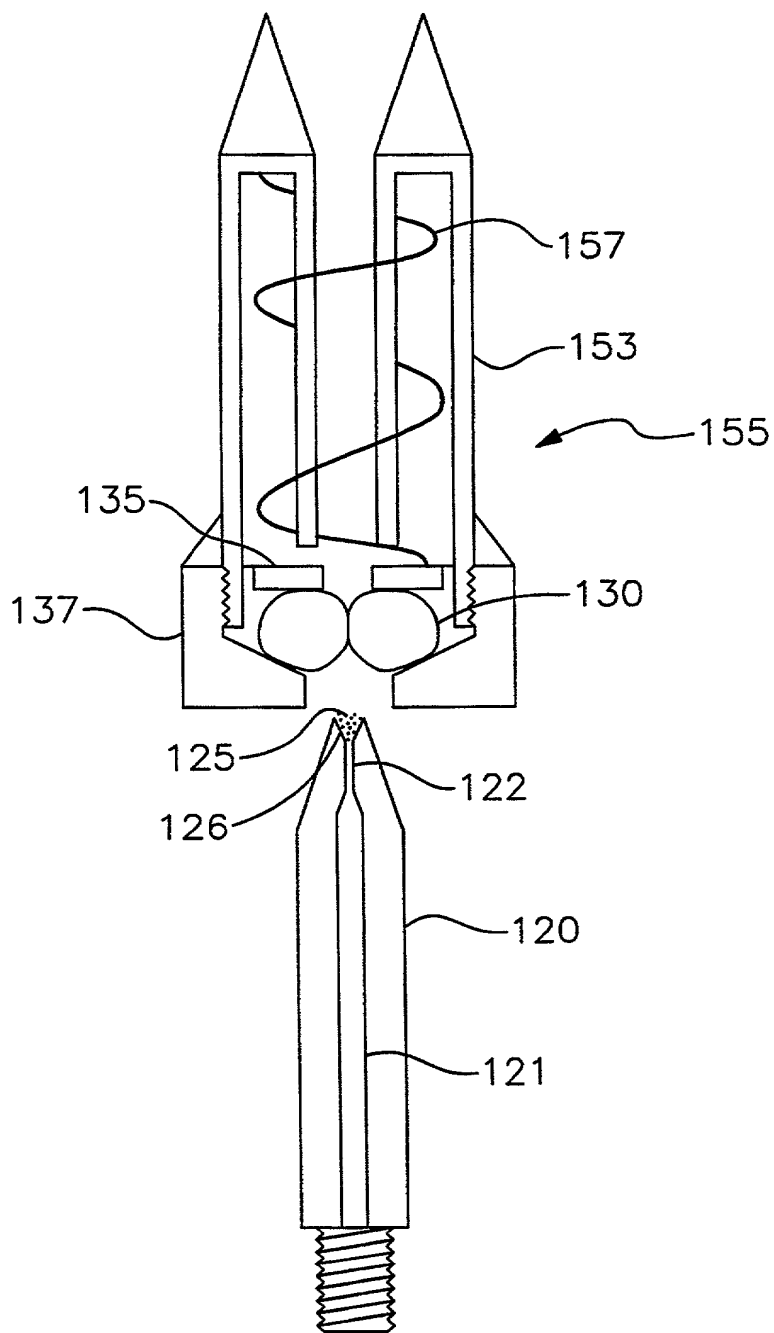
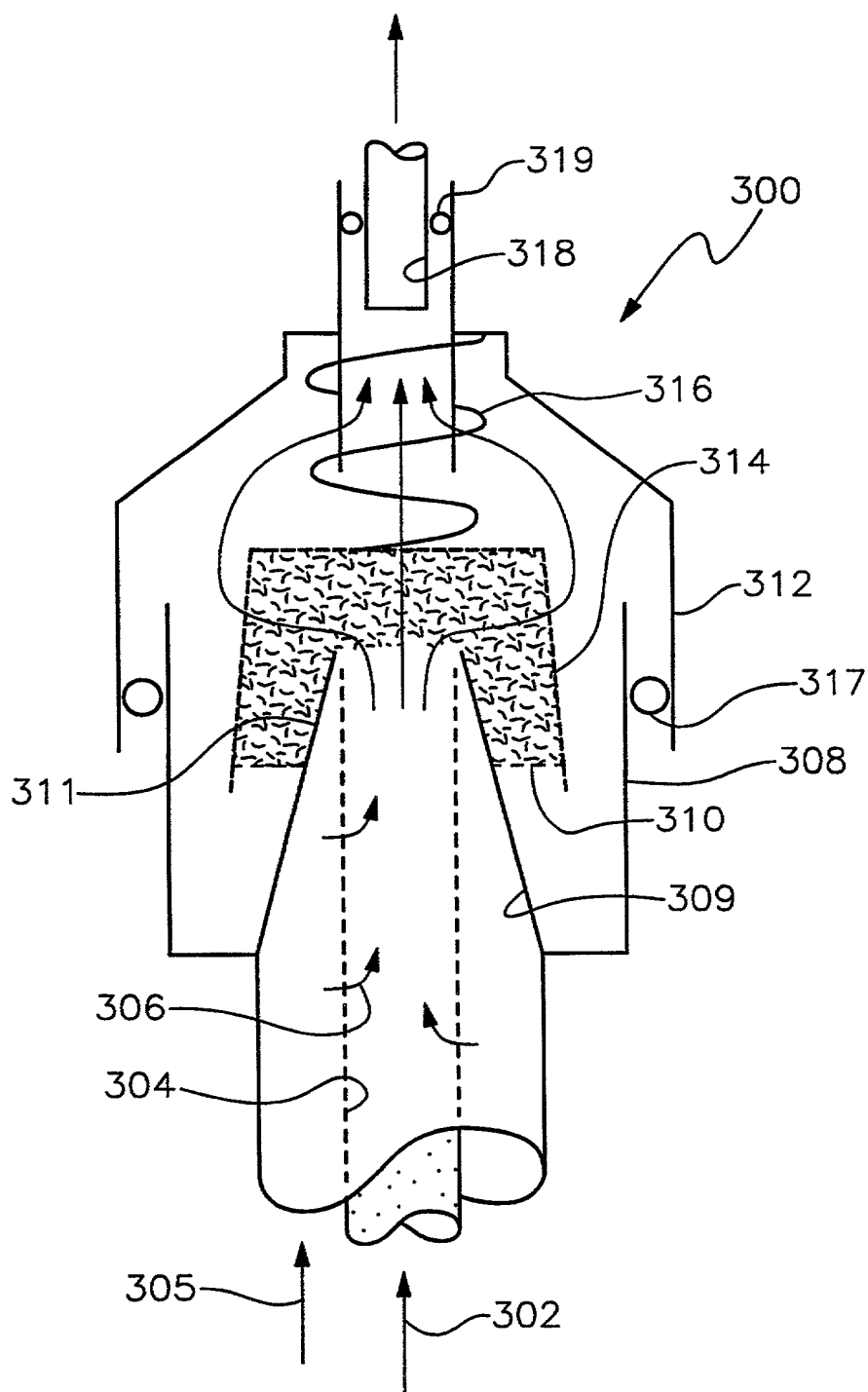


Fig. 2

*Fig. 3*

*Fig. 4A*

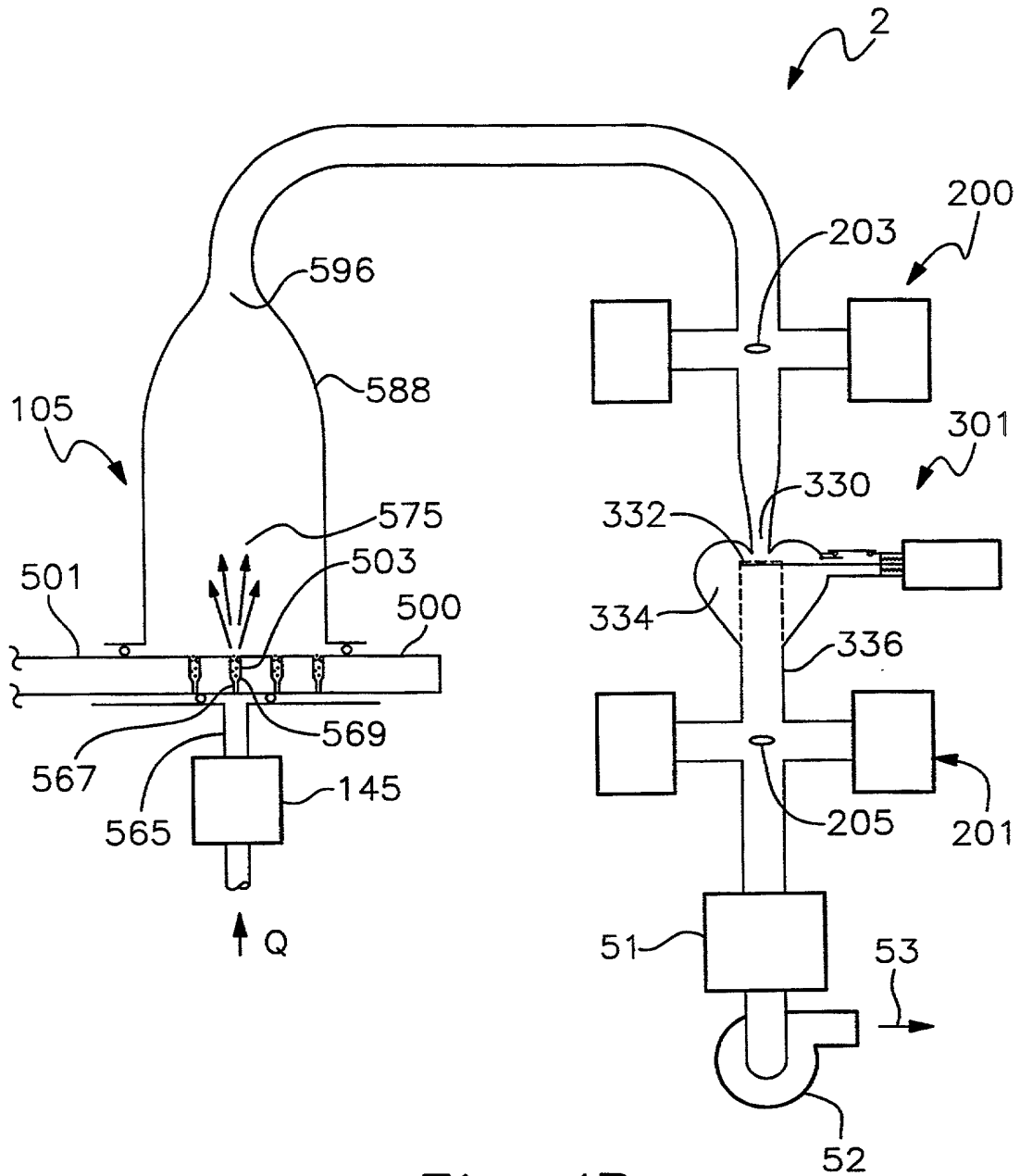
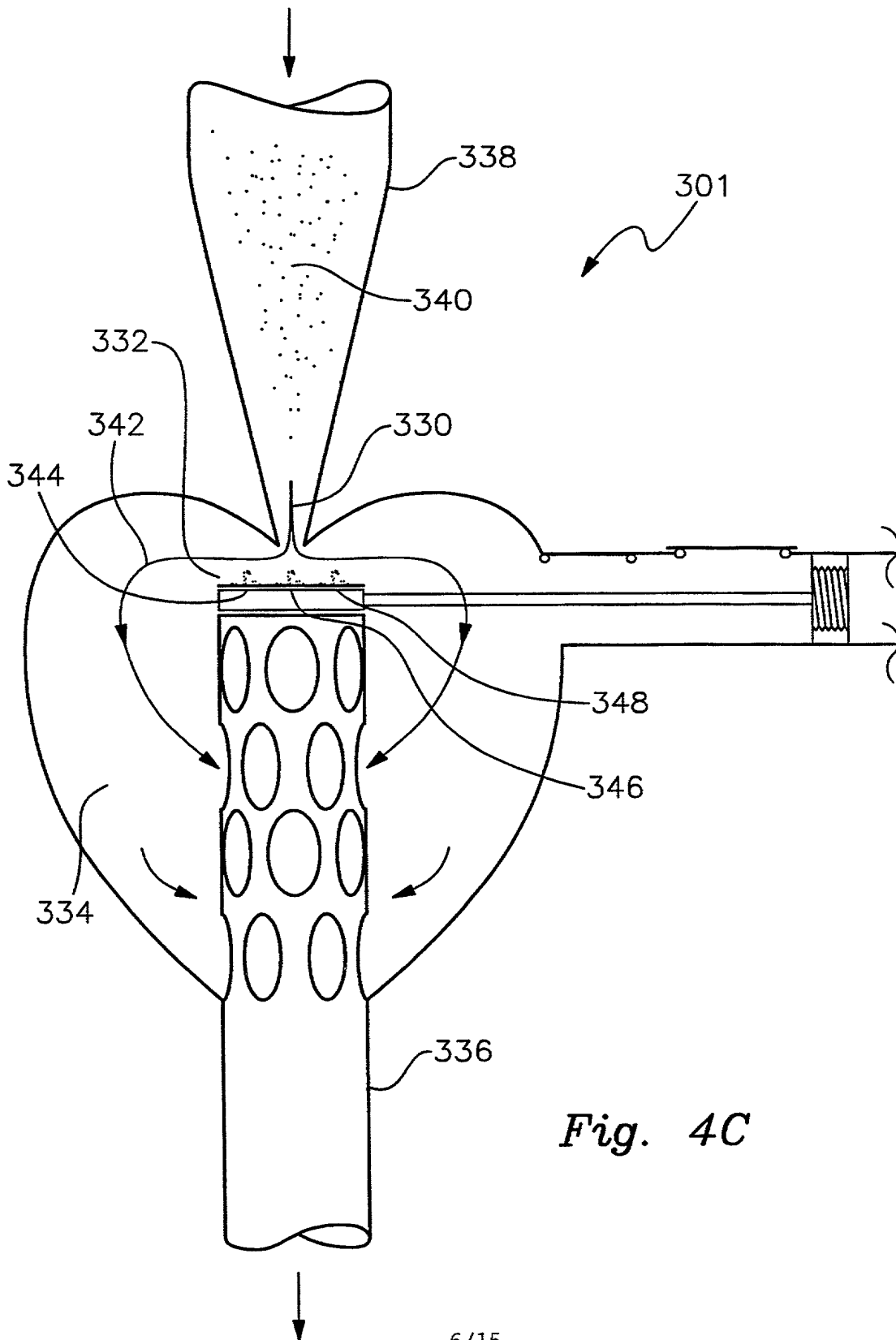
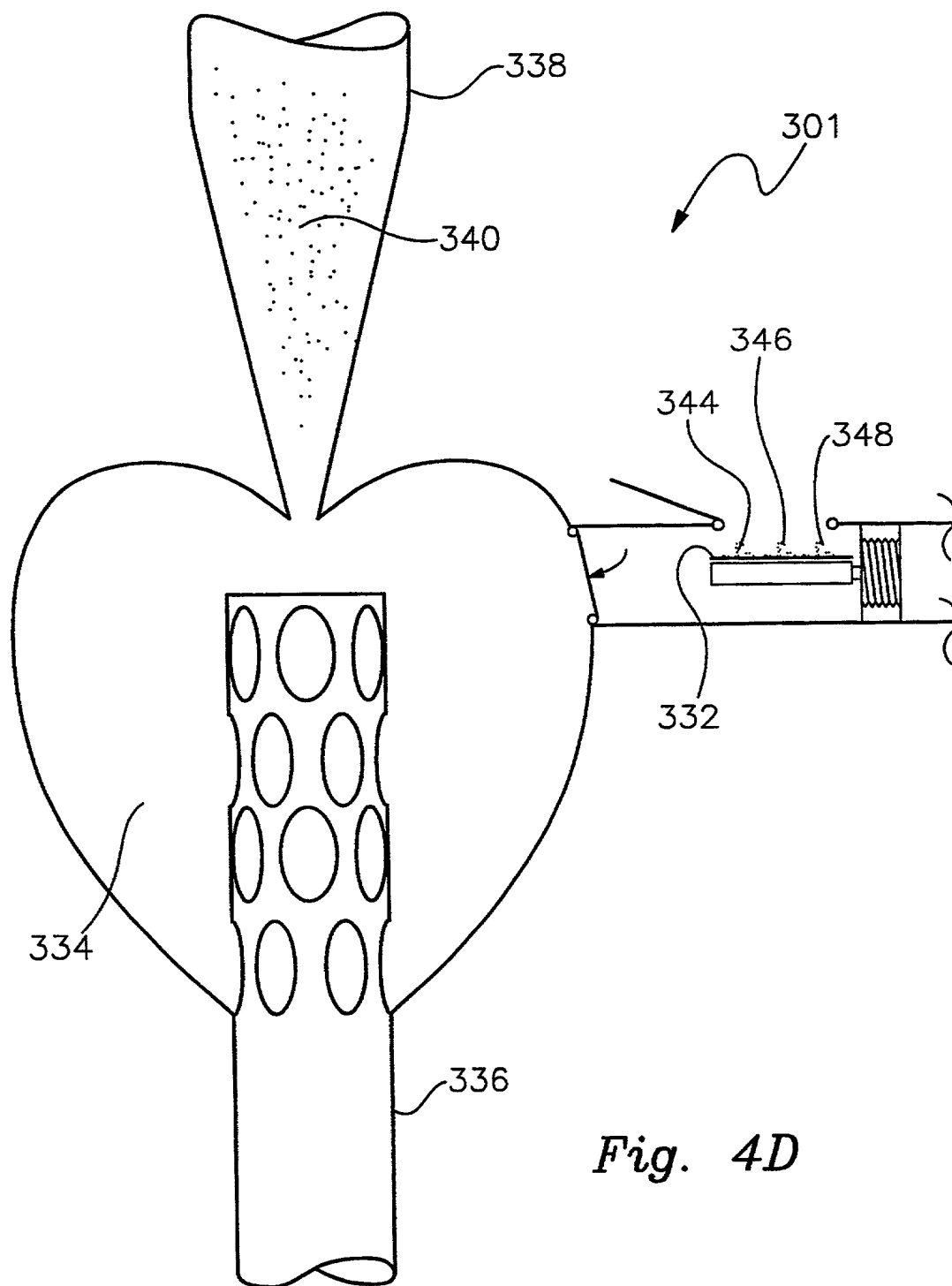
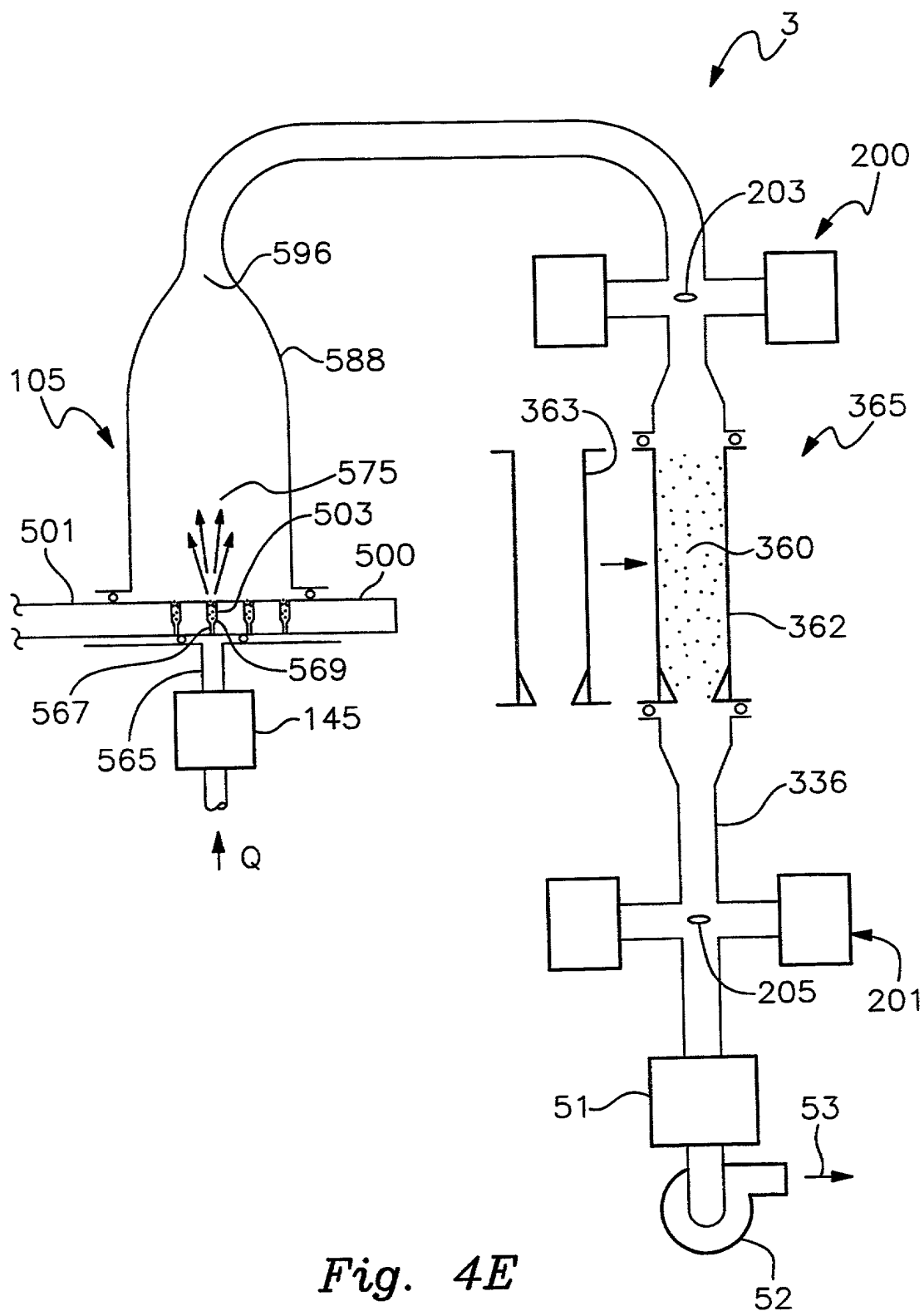
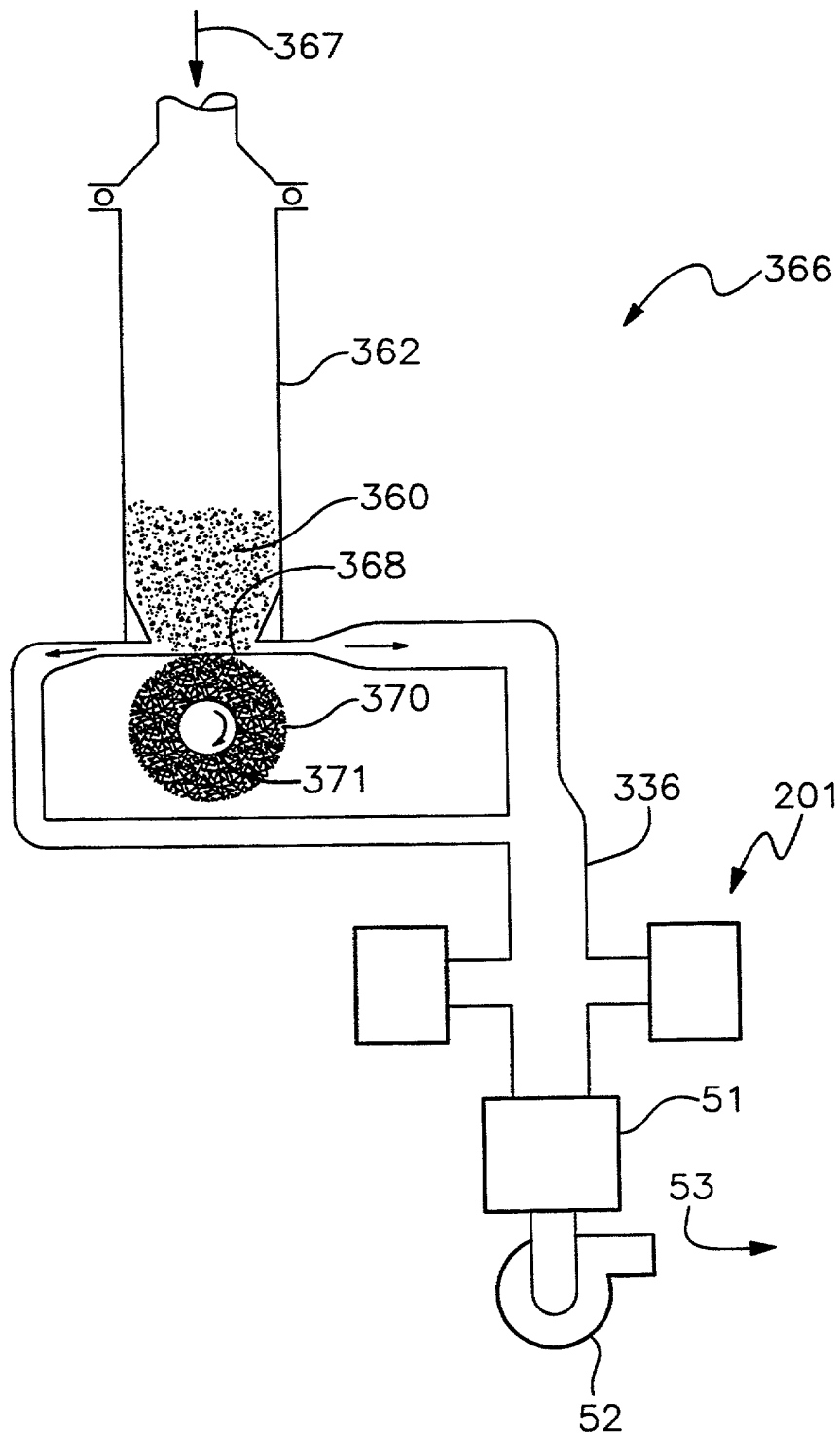


Fig. 4B





*Fig. 4E*

*Fig. 4F*

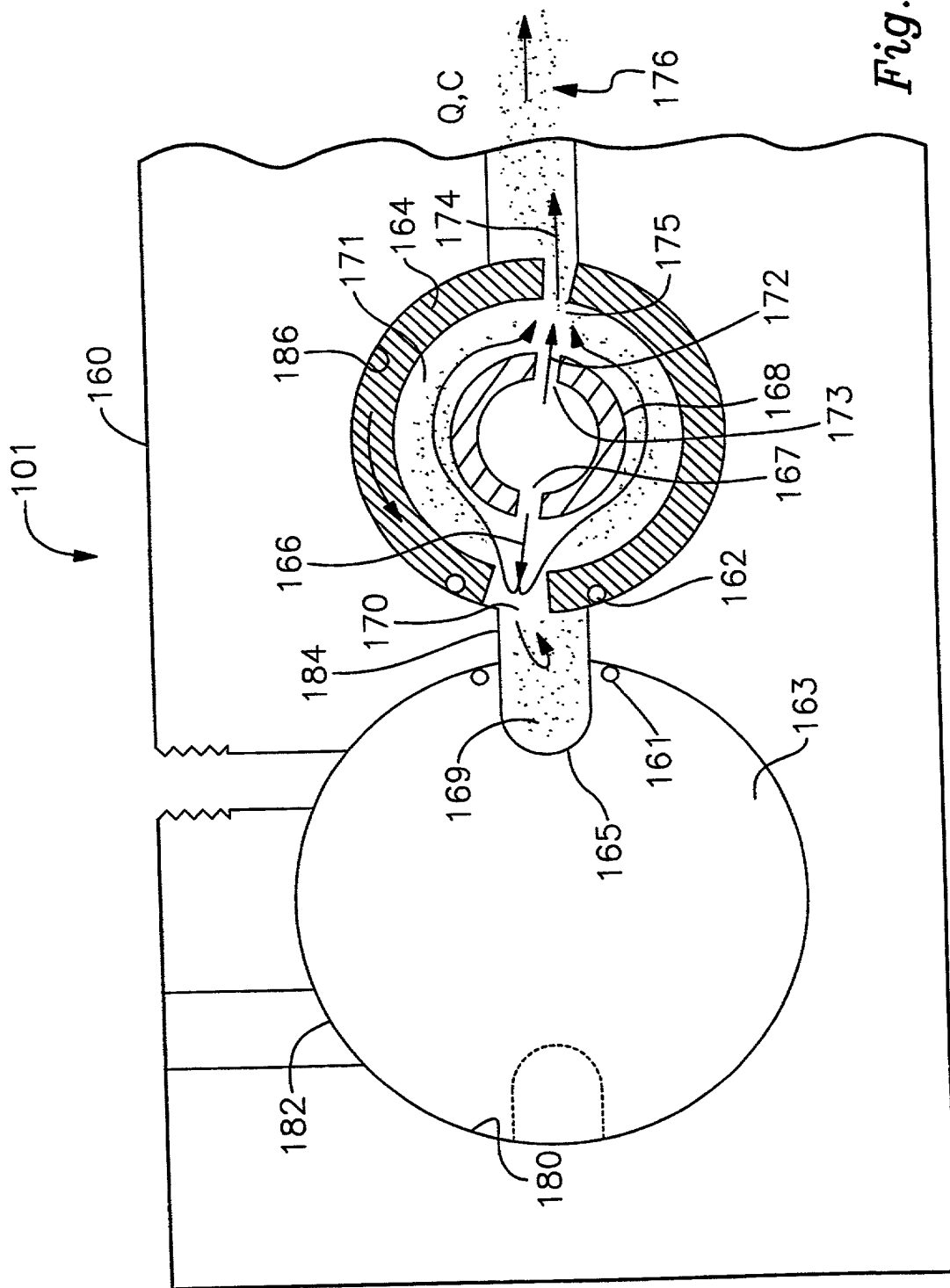
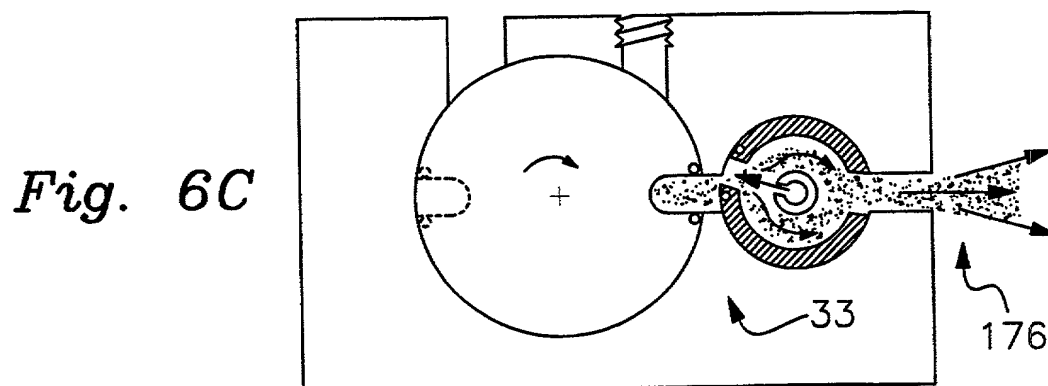
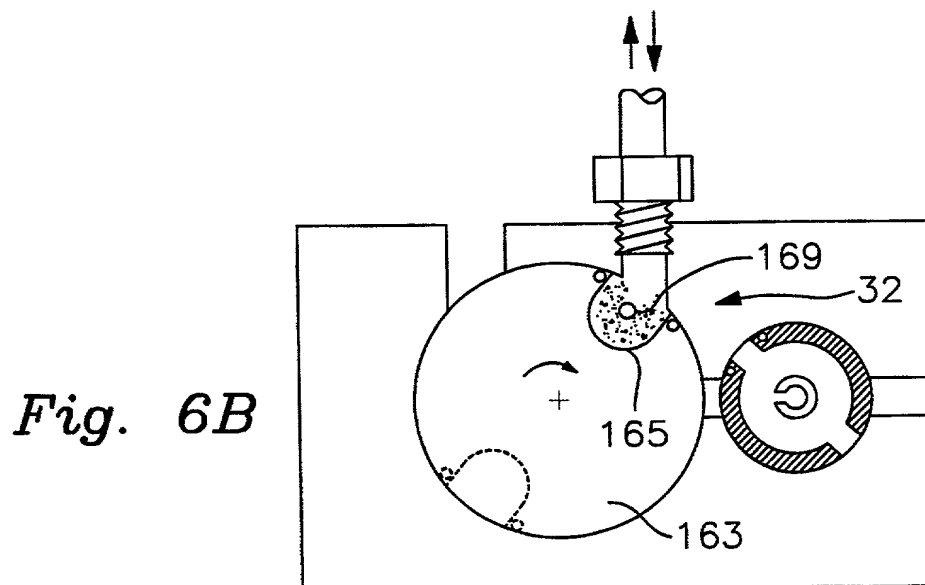
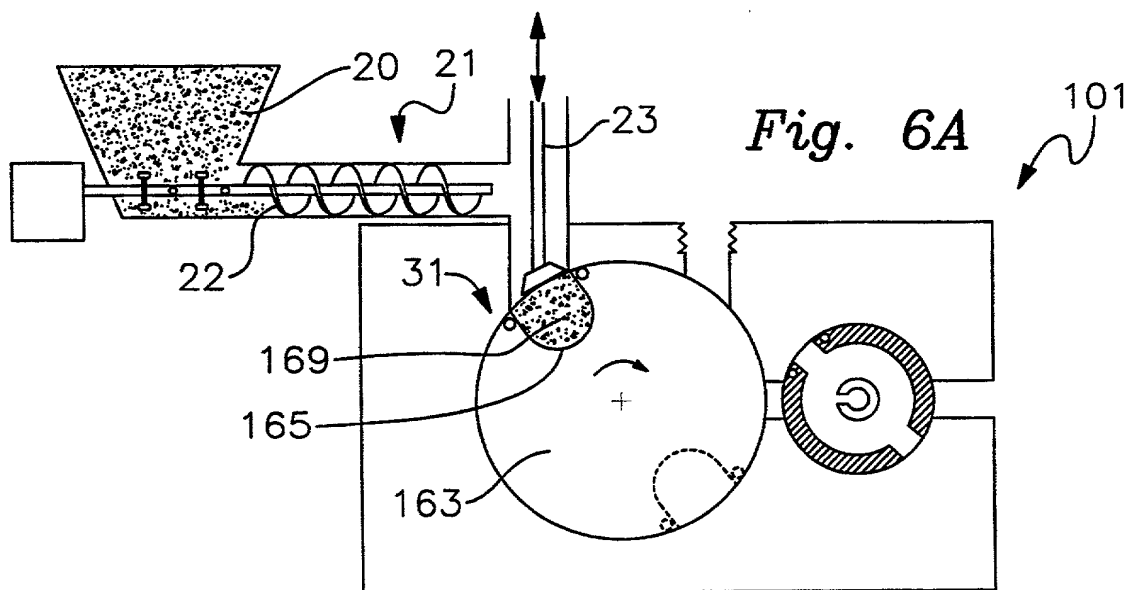


Fig. 5



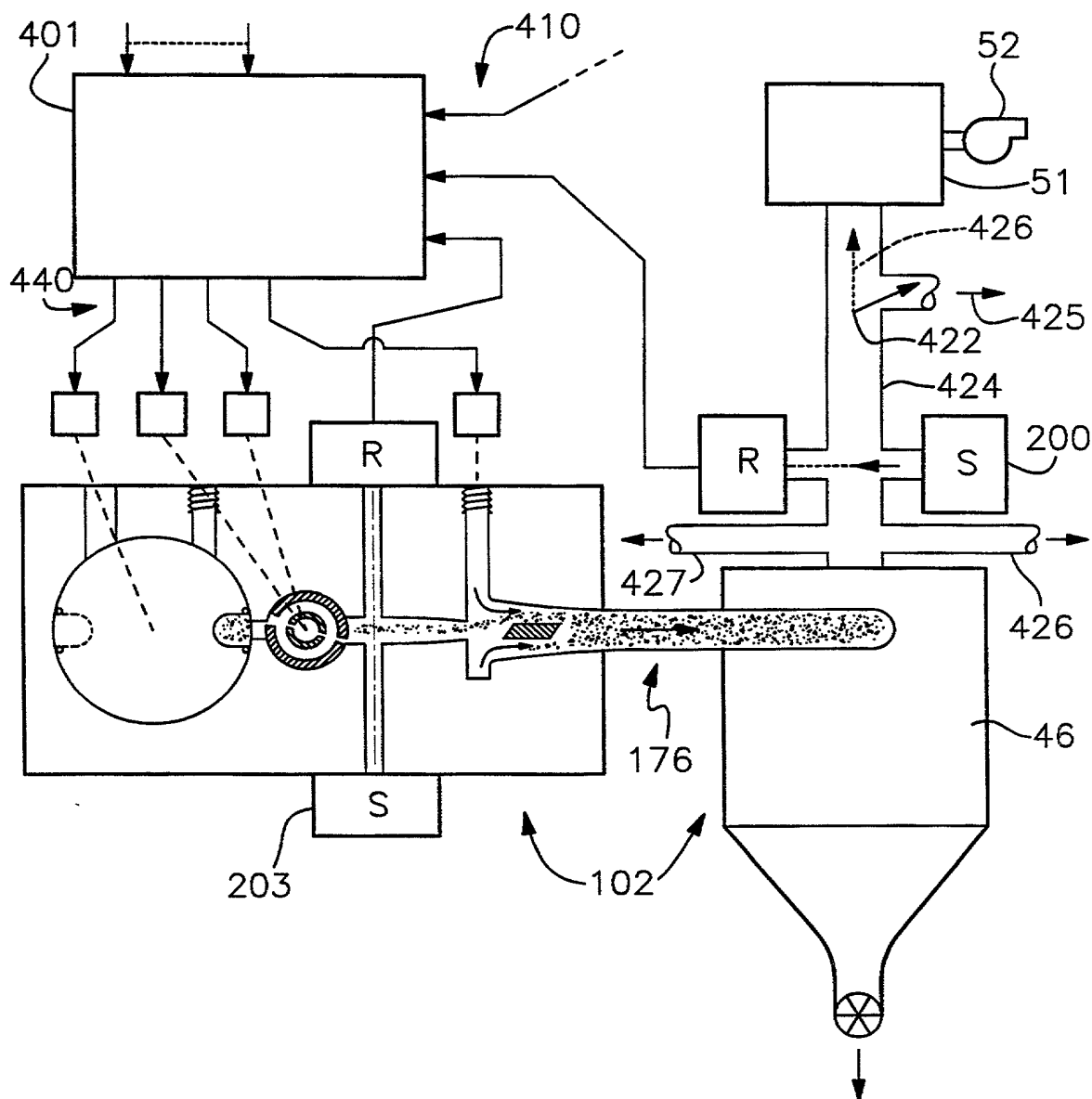


Fig. 7

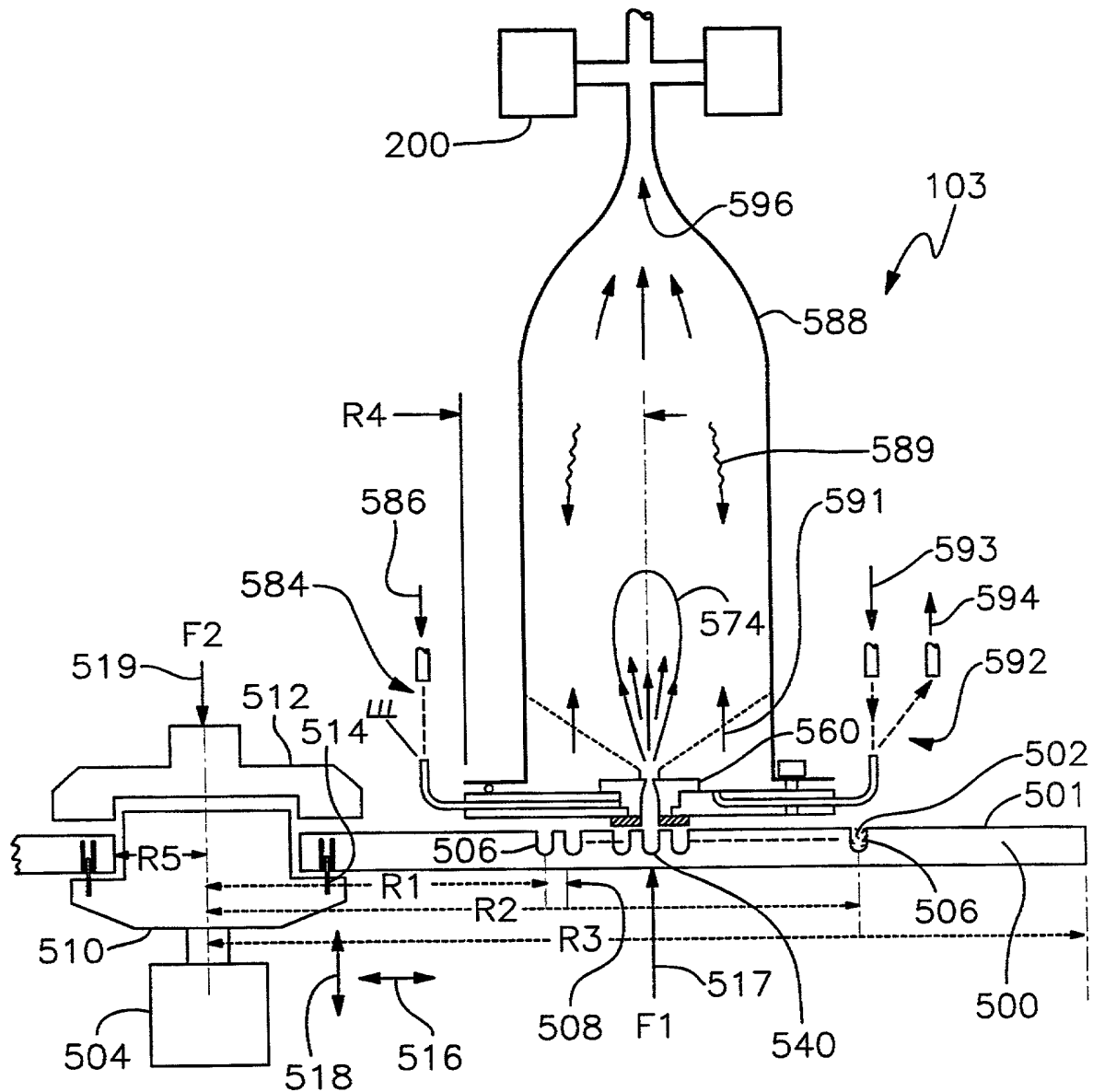
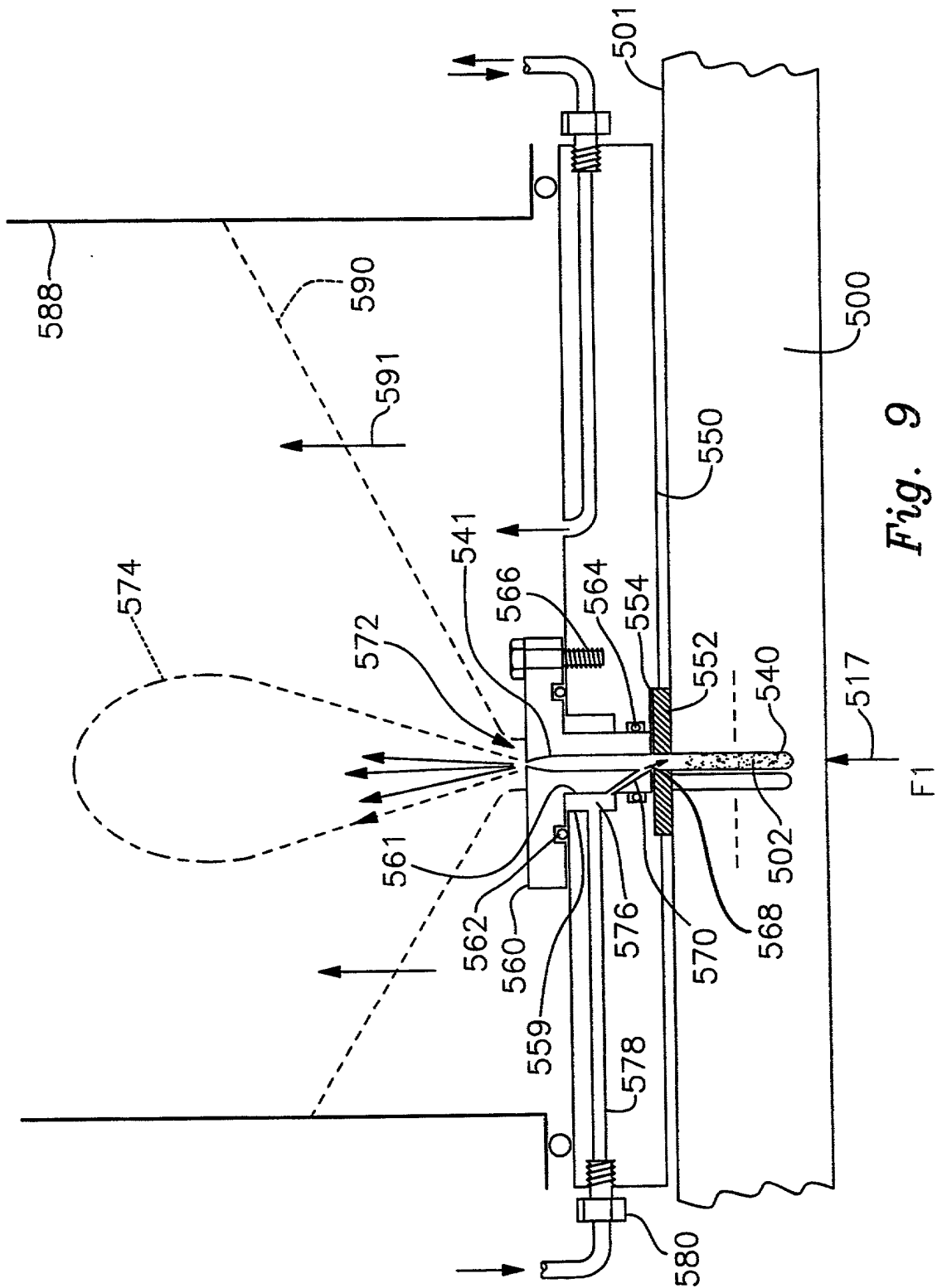
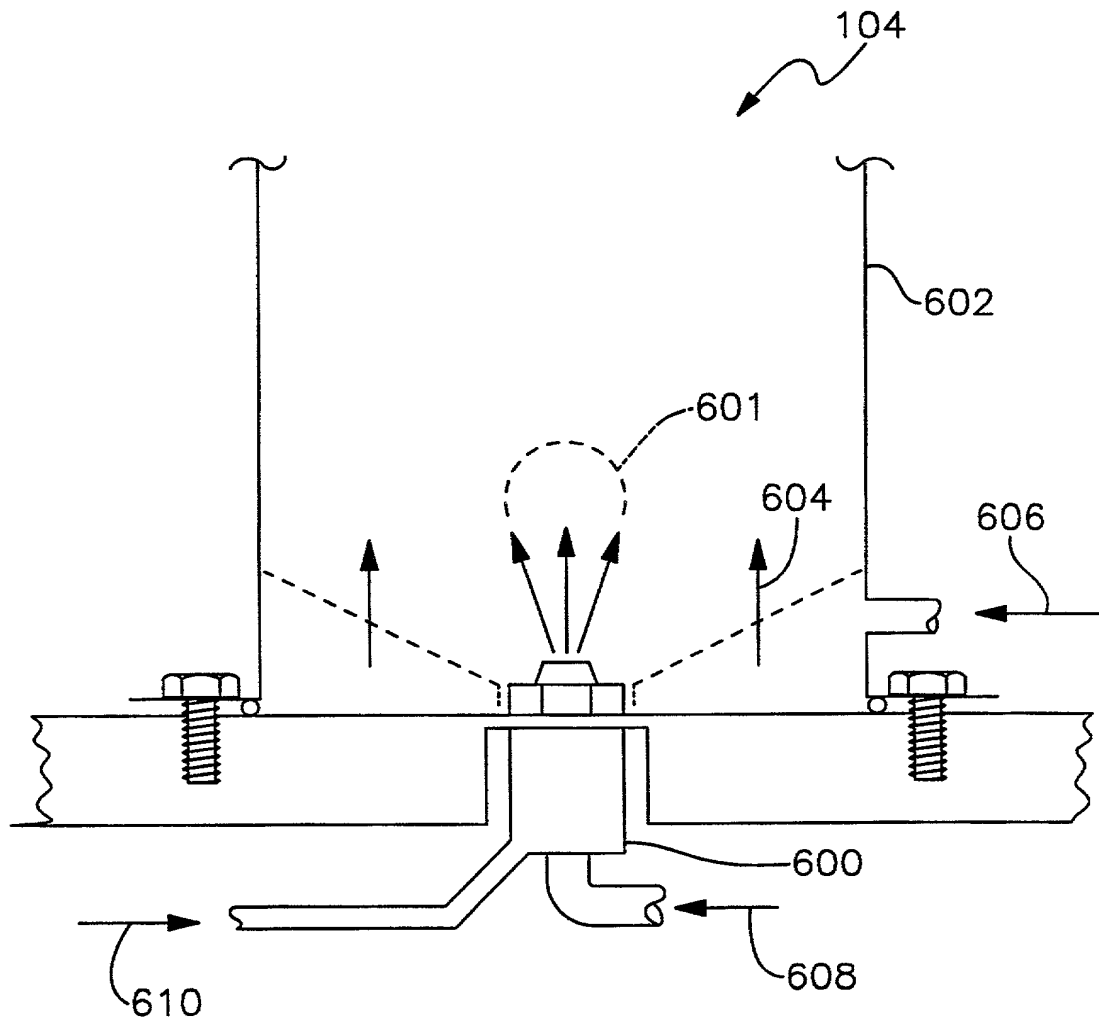


Fig. 8



*Fig. 10*

COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION	Attorney Docket SEA-6-7-US
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As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention titled
CONTROLLED DELIVERIES AND DEPOSITION OF PHARMACEUTICALS AND OTHER AEROSOLIZED MASSES,
the specification of which

(check one) ☐ is attached hereto.

☒ was filed on **30 March 2000** as Application Serial No. **PCT/US 00/08354** and with amendments through
_____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including, for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim the benefit under 35 U.S.C. §120 of any United States non-provisional application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the matter provided by the first paragraph of Title 35, United States Code, Section 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

PRIOR UNITED STATES AND INTERNATIONAL (designating U.S.) NON-PROVISIONAL PATENT APPLICATIONS

APPLICATION NUMBER	DATE OF FILING (month, day, year)	STATUS (pending, patented, abandoned)
PCT/US 00/08354	March 30, 2000	pending
NONE		

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or (f), or §365(b) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED WITH 12 MONTHS PRIOR TO THIS APPLICATION

COUNTRY	APPLICATION NUMBER	DATE OF FILING (month, day, year)	DATE OF ISSUE (day, month, year)	PRIORITY CLAIMED Under 35 USC 119	
NONE				Yes	No
NONE				Yes	No

ALL FOREIGN APPLICATIONS, IF ANY, FILED MORE THAN 12 MONTHS PRIOR TO THIS APPLICATION

NONE				
NONE				

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

PRIOR UNITED STATES PROVISIONAL PATENT APPLICATIONS

APPLICATION NUMBER(S)	DATE OF FILING (month, day, year)
U.S. 60/127,269	31 March 1999
U.S. 60/143,732	14 July 1999

POWER OF ATTORNEY: As a named inventor, I hereby appoint; jointly and each of them severally, the following attorneys with full power of substitution, delegation and revocation to prosecute this application, to make alterations and amendments therein, to receive the patent and to transact all business in the Patent and Trademark Office connected therewith:

David M. Carter, Reg. 26,407, Steven C. Schnedler, Reg. No. 27,591 and J. Derel Monteith, Jr., all of Carter & Schnedler, P.A., 56 Central Avenue, Suite 101 (28801), P.O. Box 2985, Asheville, North Carolina 28802

Please direct all correspondence and telephone calls in connection with this application as follows:

Send Correspondence to: Steven C. Schnedler Carter & Schnedler, P.A. 56 Central Avenue, #101 P.O. Box 2985 Asheville, North Carolina 28802	Direct Telephone Calls to: Steven C. Schnedler (828) 252-6225
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I hereby declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1-00

Full Name of Sole or First Inventor F. Michael Shofner, II	Inventor's Signature <i>F. Michael Shofner II</i>	Date 9/13/01
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2-00

Full Name of Second Inventor Frederick M. Shofner	Inventor's Signature <i>Frederick M. Shofner</i>	Date 9/13/01
Residence: Knoxville, TN 37922	Citizenship: U.S.A.	
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